



SAR for Detecting and Monitoring Floods, Sea Ice, and Subsidence from Groundwater Extraction Session 1: Detecting and Monitoring Sea Ice with SAR

Malin Johansson, UiT The Arctic University of Norway

October 24, 2023





## About ARSET

#### About ARSET

- ARSET provides accessible, relevant, and cost-free training on remote sensing satellites, sensors, methods, and tools.
- Trainings include a variety of applications of satellite data and are tailored to audiences with a variety of experience levels.











**HEALTH & AIR QUALITY** 





### **About ARSET Trainings**

- Online or in-person
- Live and instructor-led or asynchronous and self-paced
- Cost-free
- Bilingual and multilingual options
- Only use open-source software and data
- Accommodate differing levels of expertise
- Visit the <u>ARSET website</u> to learn more.





SAR for Detecting and Monitoring Floods, Sea Ice, and Subsidence from Groundwater Extraction **Overview** 

# Sea Ice, Floods and Groundwater Extraction can be Seen from Space

- The objective of this training is for participants to learn how to use SAR to detect and address potential disasters related to sea ice, floods and groundwater extraction.
- These sort of events can have a large impact on human lives, infrastructure and the economy.
- SAR can be critical in informing on-the-ground efforts on disaster mitigation efforts and resilience.



#### **Training Learning Objectives**

By the end of this training, participants will be able to:

- Generate subsidence maps due to groundwater extraction to inform risk and resource management.
- Detect and monitor sea ice to identify potential risks to shipping and coastal erosion.
- Detect and monitor floods in order to more closely monitor increase/decrease of flood waters and better inform disaster response and management.



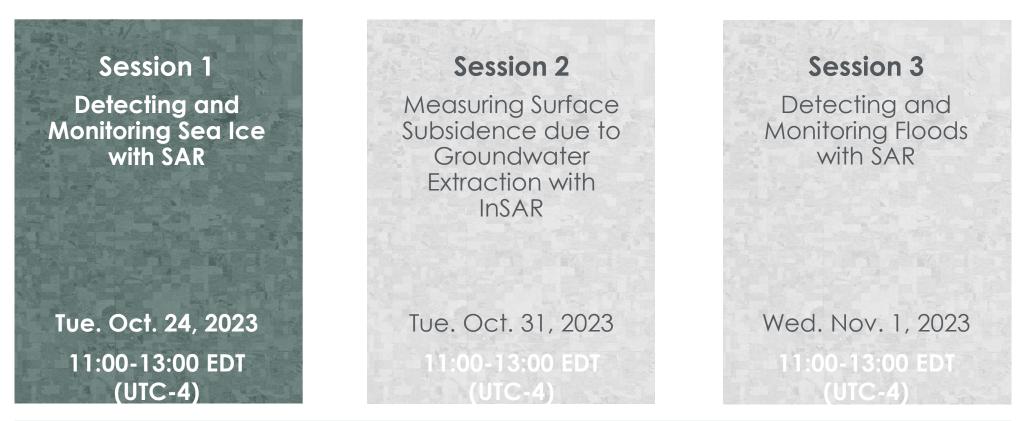
#### **Prerequisites**

275

- Fundamentals of Remote Sensing
- Introduction to Synthetic Aperture Radar (first and fourth sessions)
- Radar Remote Sensing for Land, Water, & Disaster Applications (second session)



#### **Training Outline**



#### Homework

Opens Nov. 1– Due Nov. 17 – Posted on Training Webpage

A certificate of completion will be awarded to those who attend all live sessions and complete the homework assignment(s) before the given due date.





#### How to Ask Questions

- Please put your questions in the Questions box and we will address them at the end of the webinar.
- Feel free to enter your questions as we go. We will try to get to all of the questions during the Q&A session after the webinar.
- The remainder of the questions will be answered in the Q&A document, which will be posted to the training website about a week after the training.



## Session 1: Detecting and Monitoring Sea Ice with SAR

#### **Session 1 Objectives**



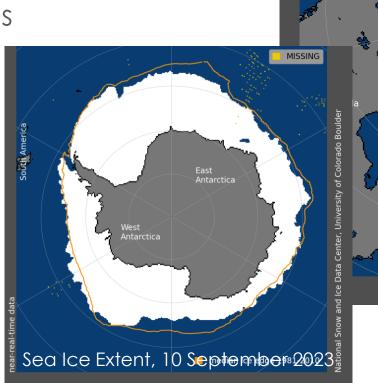
By the end of Session 1, participants will be able to:

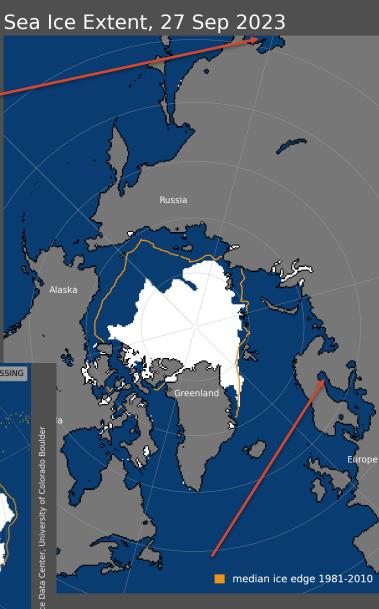
- Understand the mechanism behind our ability to monitor sea ice
- Understand the challenges with sea ice monitoring
- Understand how sea ice characteristics can be derived using single- and dualpolarization SAR images
- See how satellite (SAR) images can fit into the larger-scale picture when it comes to safe shipping and climate models



#### What is sea ice?

- Ice that forms from freezing of sea water
   Salty
- Covers the Arctic Ocean
- Surrounds Antarctica
- Occurs seasonally in sub-polar seas







#### What does sea ice look like?

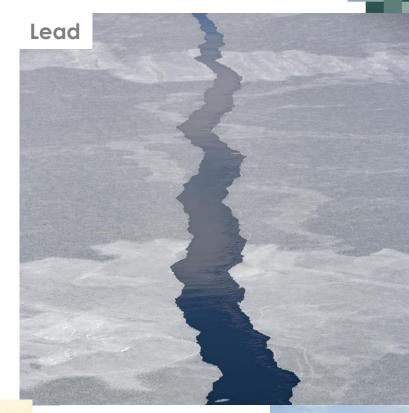


#### Thin First-Year Ice





Rough First Year Ice



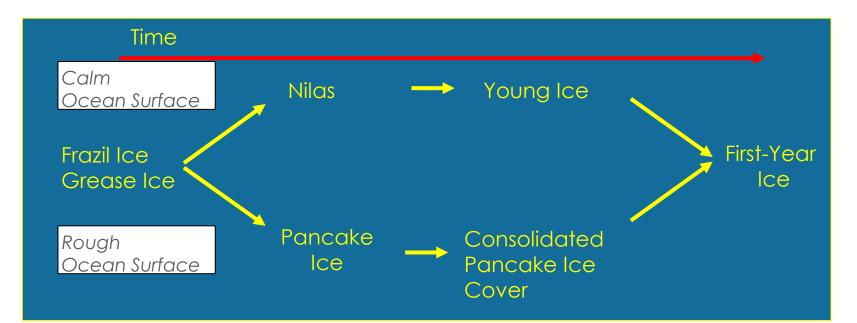
#### Multi-First Year Ice



#### Basic Classes and Mechanisms of Ice Formation

Salinity

Class	Description	Thickness	High
New Ice	Ice which began to grow a few hours or days ago	0 – 10 cm	
Young Ice	Transition between new and first-year ice	10 - 30 cm	
First-Year Ice	Ice of no more than one winter's growth	30 – 200 cm	
Old Ice	Ice that has survived at least one summer's melt; most topographic features are smoother than on first-year ice	> 200 cm	





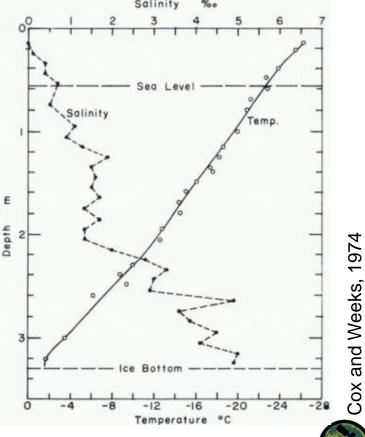
#### What does sea ice look like?

- Sea ice includes brine pockets and air bubbles.
- As the ice ages and deforms, the salinity, density, surface roughness, and topography will change. Salini



Photos: J. Landy





Хо Со

#### What does sea ice look like?

• Sea ice core seen close up

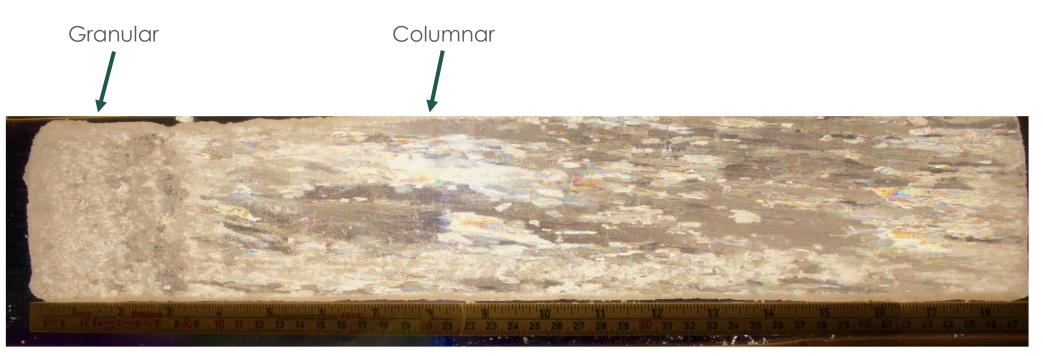


Photo: J. Osanen

- In the summer it melts
  - First the snow melts
  - Melt pond starts forming



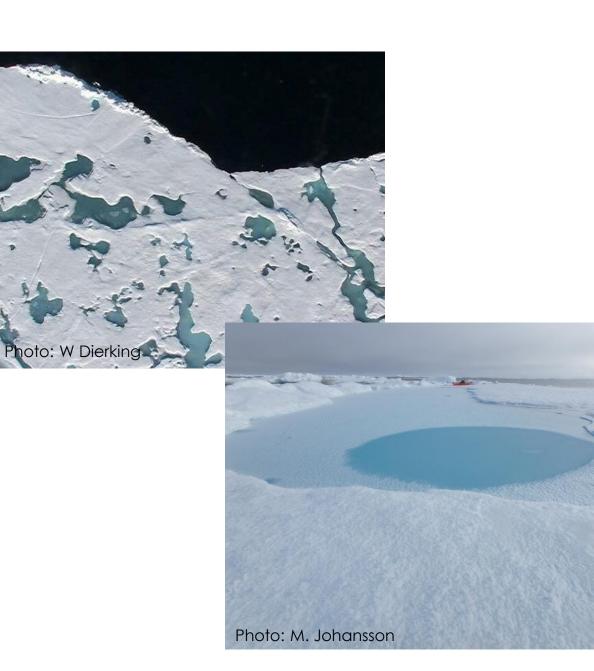


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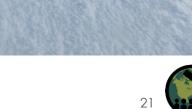


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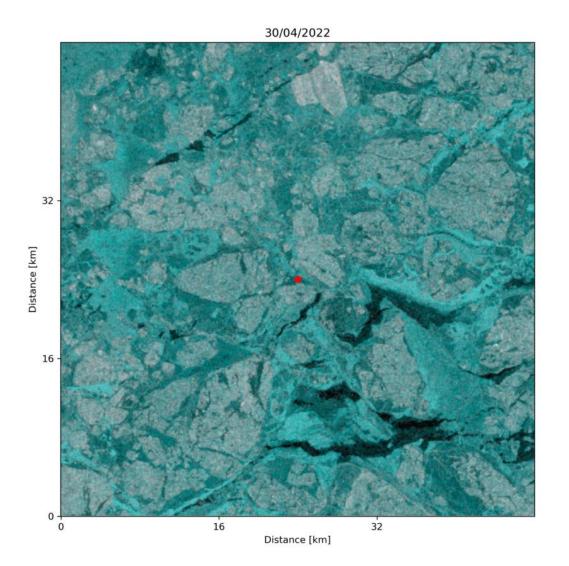
#### Changes the Photo: W Dierking signal we can observe with SAR!

Photo: M. Johansson





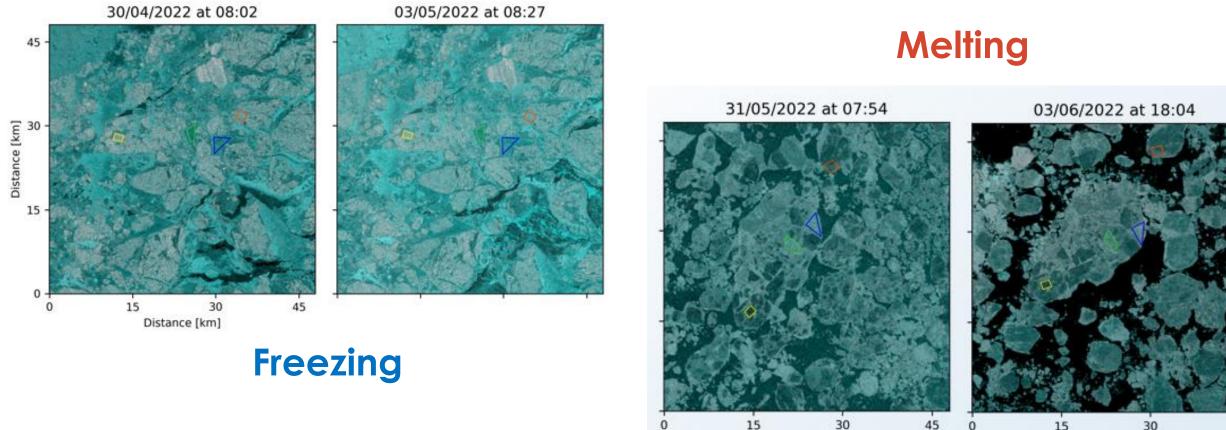
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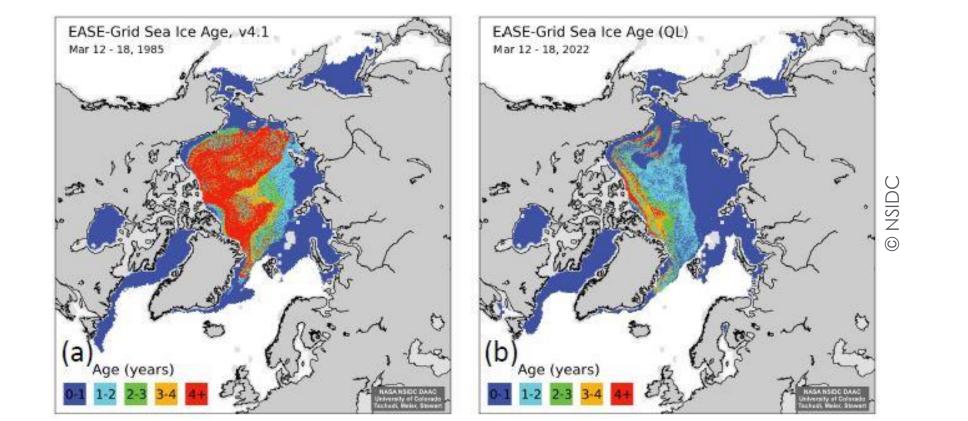
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C. Taelmann et al, 2023

#### Changes to the Sea Ice in the Arctic Ocean

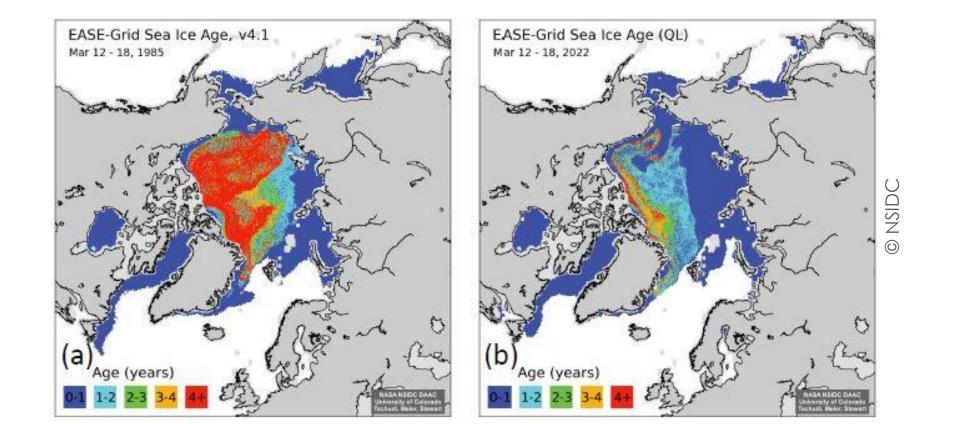
• The thicker ice is dissapearing.





#### Changes to the Sea Ice in the Arctic Ocean

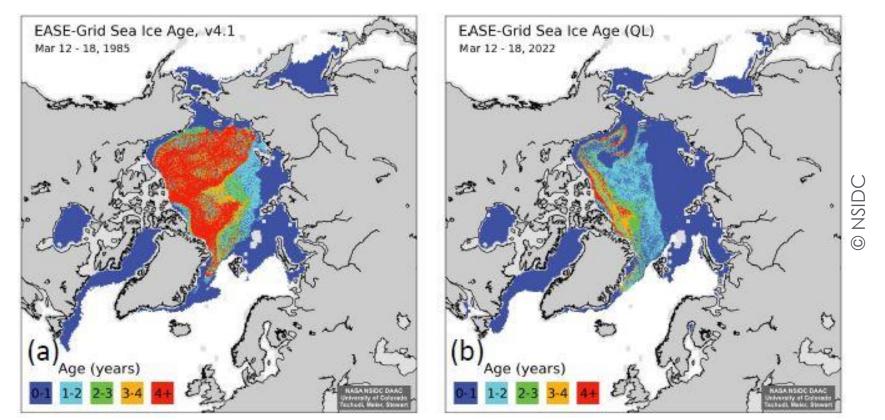
- The thicker ice is dissapearing.
- Today the larger fraction of Arctic sea ice is less than one year old.





#### Changes to the Sea Ice in the Arctic Ocean

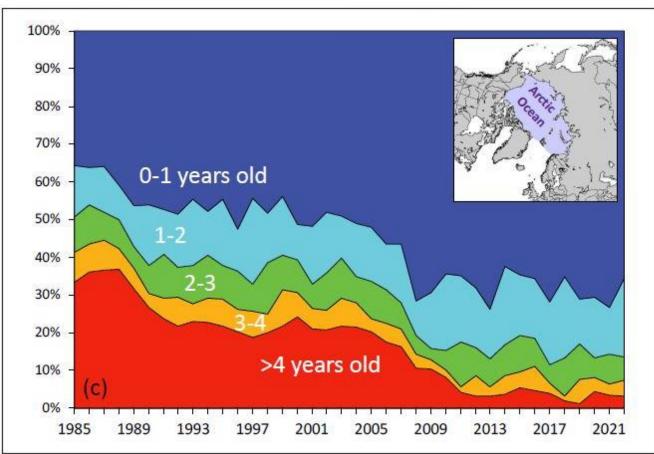
- The thicker ice is dissapearing.
- Today the larger fraction of Arctic sea ice is less than one year old.
  - Oldest (and thickest) in the West
  - Younger (and thinnest) in the East





#### Sea Ice Age

- How is the age of sea ice determined?
  - Count it from 1st September
  - Freeze-up season





#### What do we monitor?

- Which parameters are used to describe the characteristics of the Arctic and Antarctic sea ice cover?
  - Sea ice extent

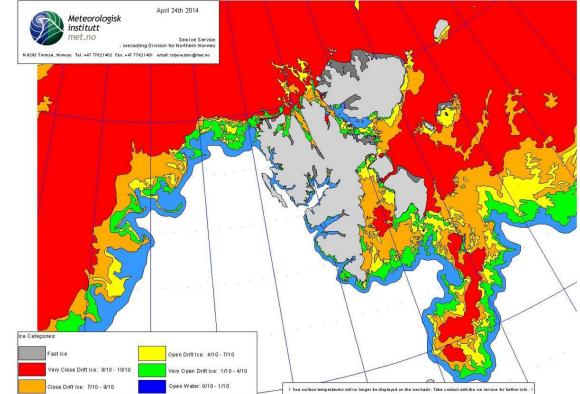




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  - Sea ice types





#### What do we monitor?

- Which parameters are used to describe the characteristics of the Arctic and Antarctic sea ice cover?
  - Sea ice extent
  - Sea ice concentration
  - Sea ice types
  - Sea ice stage of development



### Sea Ice Monitoring and Detection

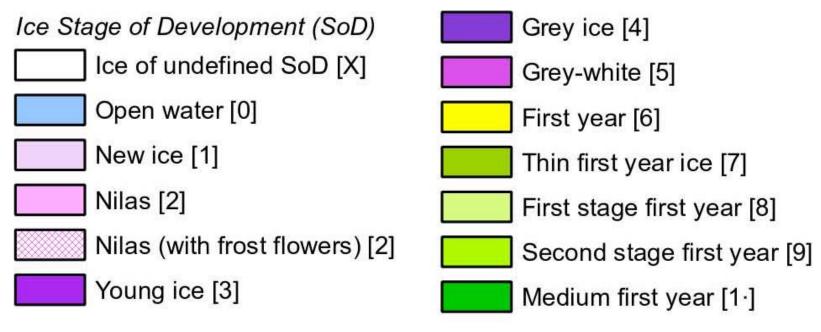
- WMO Nomenclature
  - Not directly compatible with SAR images
- SAR does not see ice thickness.





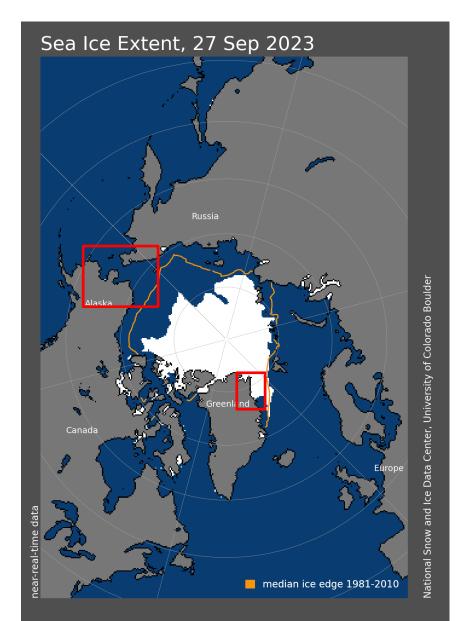
### **Sea Ice Monitoring and Detection**

- WMO Nomenclature
  - Not directly compatible with SAR images
- SAR does not see ice thickness.
- SAR "sees" surface roughness (mm to dm range) and volume inhomogeneities (air inclusions, brine pockets, large snow grains, etc.)



#### How does the sea ice extent vary?

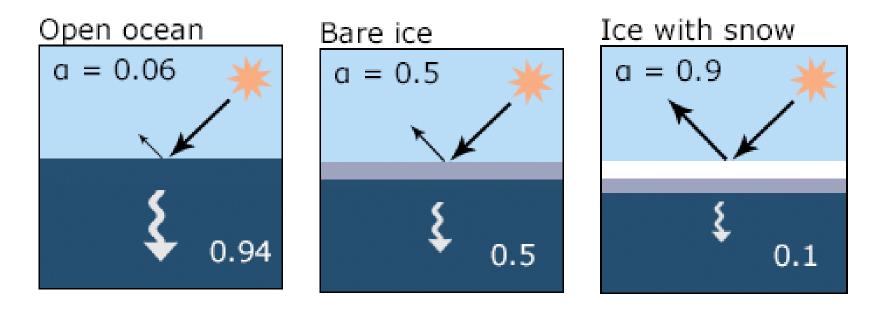
- Seasonal Cycle
- Sea Ice Drift
  - Arctic Gateways
    - Bering Strait Connects to the Pacific Ocean
    - Fram Strait Connects to the Atlantic Ocean
- Fram Strait is located between Greenland and Svalbard
  - Fastest flowing sea ice drift





#### Why do we monitor sea ice?

- Albedo:
  - If there is no sea ice, the ocean absorbs the heat.
  - Presence of sea ice => higher albedo => the sea ice reflects more incoming solar radiation => ocean temperature increases less
  - Sea ice + snow => even higher albedo





## Why do we monitor sea ice?

- Albedo
- Transportation
  - Hazard for shipping
  - Planning ship routing



Photo: NORCE



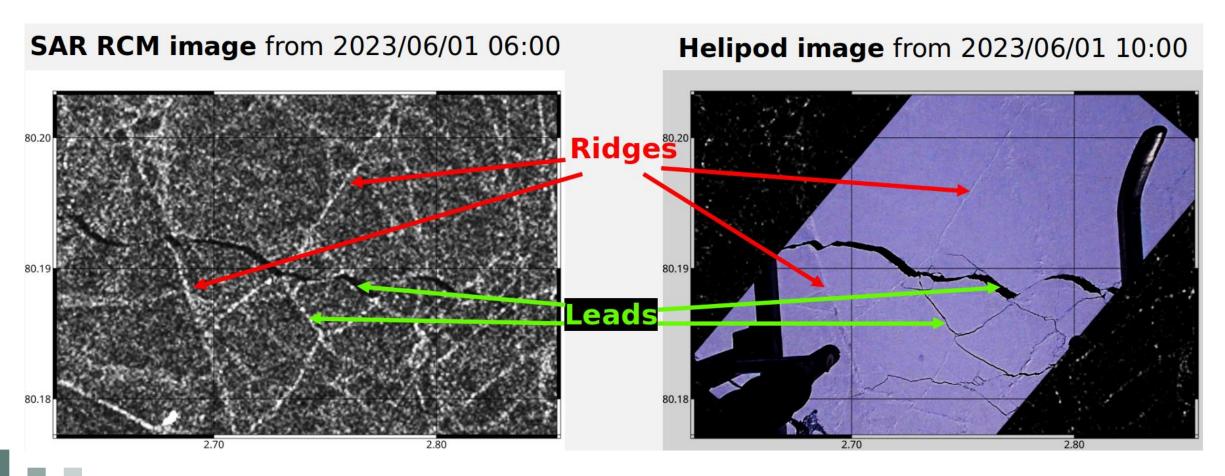
Photo: T. Karlsen





#### **Operational Sea Ice Mapping**

• Need of SAR imaging for optimising icebreaker route



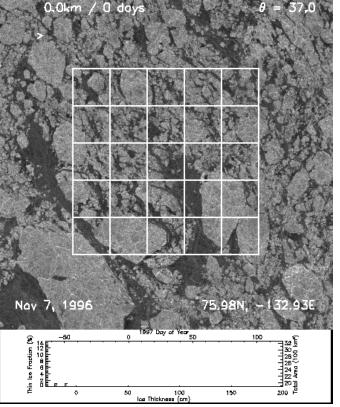


### Sea Ice Drift

• Sea ice moves -> leads and ridges



Photo: W. Dierking, 1991



Courtesy of R. Kwok



# Why do we monitor sea ice?

- Albedo
- Transportation
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  - Planning ship routing
  - Used as road



Photo: W. Copeland



# Why do we monitor sea ice?

- Albedo
- Transportation
  - Hazard for shipping
  - Planning ship routing
  - Used as road
- Coastal Erosion







NASA ARSET - Measuring Floods, Subsidence, and Sea Ice with SAR

# Why do we monitor sea ice?

- Albedo
- Transportation
  - Hazard for shipping
  - Planning ship routing

Heat and gas exchanges

- Sea Ice >1m Thick:  $5-20 \text{ W/m}^2$ 

influences regional heat flux.

- Used as road
- Coastal Erosion

Climate System

- 0.0 kmSAR Image, 100x100 km Heat flux sea surface: 100 -1000 W/m<sup>2</sup> Nov 7, 1996 Frequency and size of leads and polynyas
  - Courtesy of R. Kwok

50 100 Ice Thickness (cm

150

#### NASA ARSET – Measuring Floods, Subsidence, and Sea Ice with SAR





### How do we monitor sea ice?





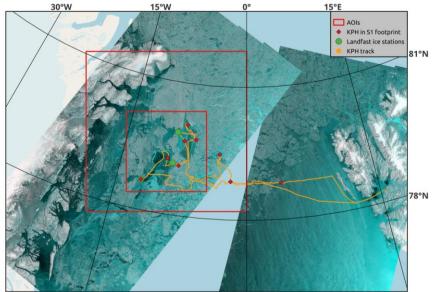
Photos: W. Dierking NASA ARSET – Measuring Floods, Subsidence, and Sea Ice with SAR



### How do we monitor sea ice?





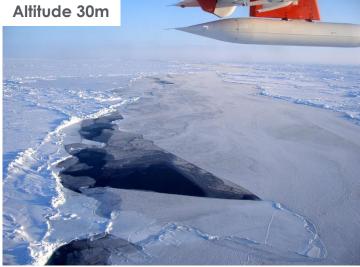


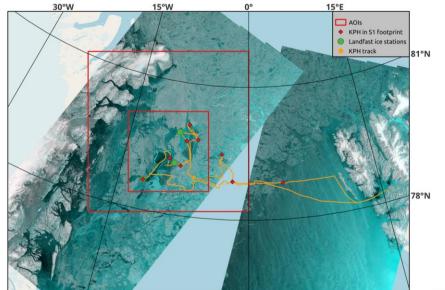


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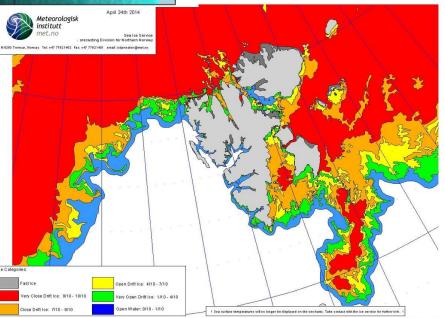
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### Sea Ice Maps

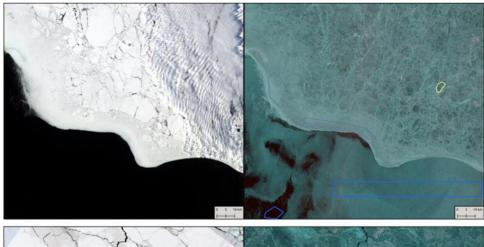
- Drawn by Hand
  - Expert knowledge
  - History from sequence of SAR images
  - Yesterday's images (from the past [hours to a day])
  - Information from ships
  - Other satellite sensors
  - Weather forecasts

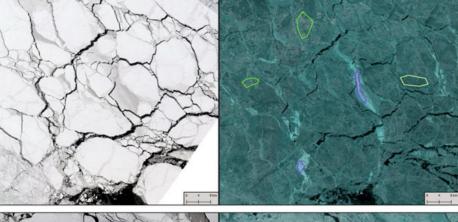
- Remaining Challenges:
  - AI may be helpful in improving ice charts (under investigation)
  - Very important to avoid misclassifications regarding hazardous ice conditions

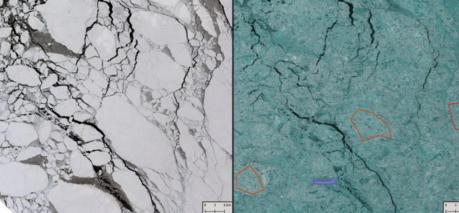


# SAR vs. Optical

- SAR images can penetrate the clouds and work during polar night
  - Not as intuitive to interpret
  - Need to understand the radar signal's interaction with the ground
- Sentinel-2 on the left
- Sentinel-1 on the right
  - R = HV
  - G = HH
  - B = HH
- False color composite/image







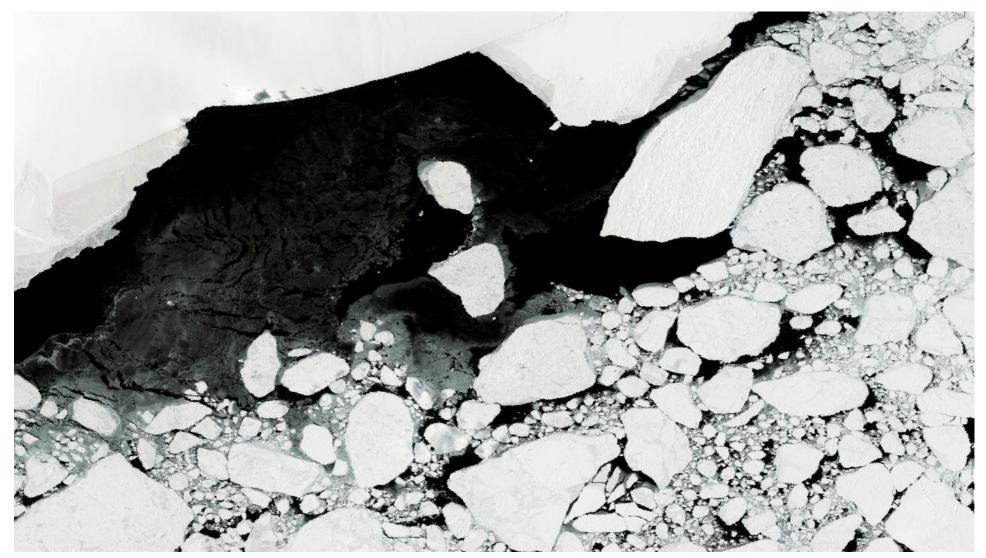




### Sentinel-1 & Sentinel-2



### Sentinel-1 & Sentinel-2



J. Lohse

### Positives

- Works at night
- Can see through the clouds
- Sea ice areas with rough topography can be recognized in SAR images
  - Used for detection of deformed sea ice areas

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# Very useful for safe shipping

### Challenges

- Challenging to interpret
  - Multiple scales are involved, from micro to km scales

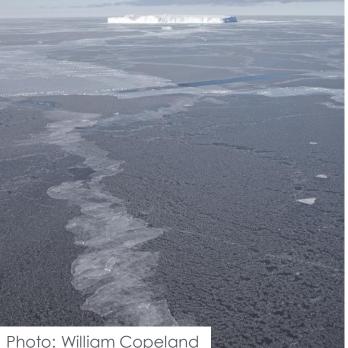


### Challenges

- Challenging to interpret
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- Multiple scattering mechanisms
   involved
  - Young thin ice can be bright due to frost flowers, and looks similar to old, thick ice (multi-year ice)

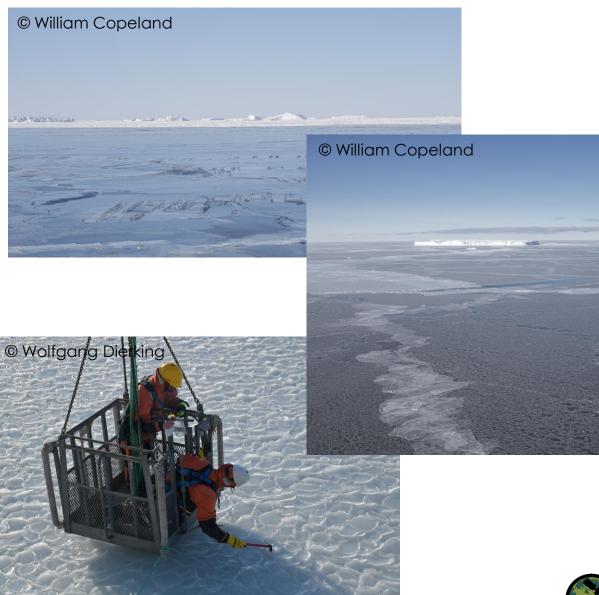


Photo: W. Guo

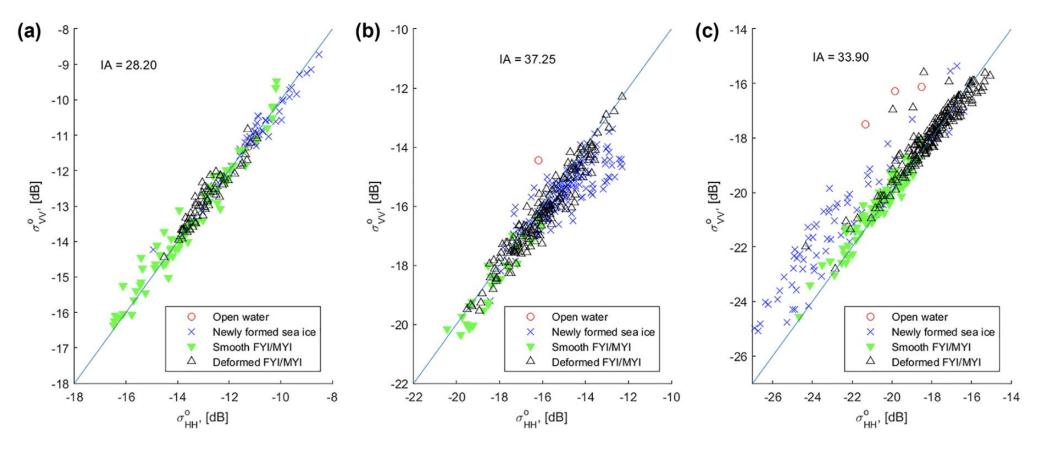


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- Challenging to interpret
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  - Young thin ice can be bright, due to frost flowers, and looks similar to old thick ice (multi-year ice)
- Young ice has a large range of SAR signatures



- Evolution for young, smooth, and deformed sea ice
  - Variability is largest for the young ice type



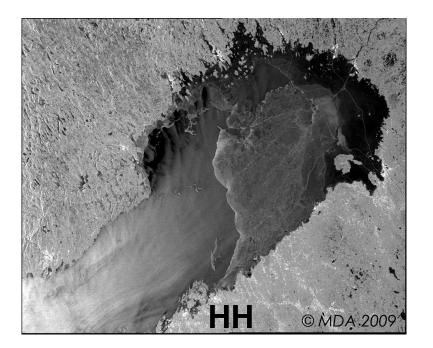
### Challenges

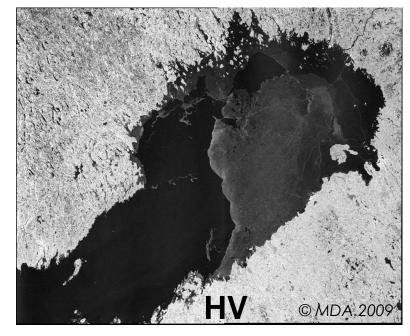
- Separation between open ocean and sea ice -> wind speed dependent
- Propagation and scattering of radar waves in snow and ice layers cause complex interaction processes.
- Melt season changes everything!
  - Separation between wet sea ice, melt ponds on sea ice, and open ocean



# What type of SAR data do we use?

- ScanSAR images primarily
  - Coverage
  - These days HH+HV
    - Good backscatter signatures



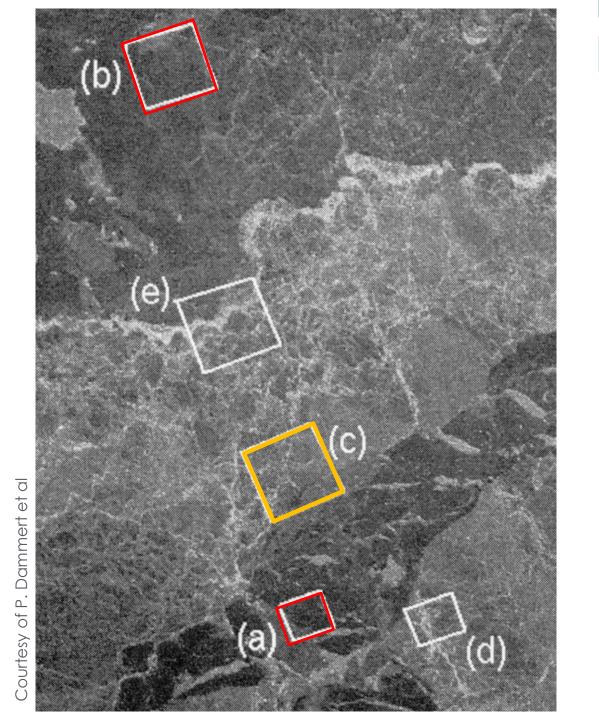


Satellite: Radarsat-2 ScanSAR Date: 2009-04-24

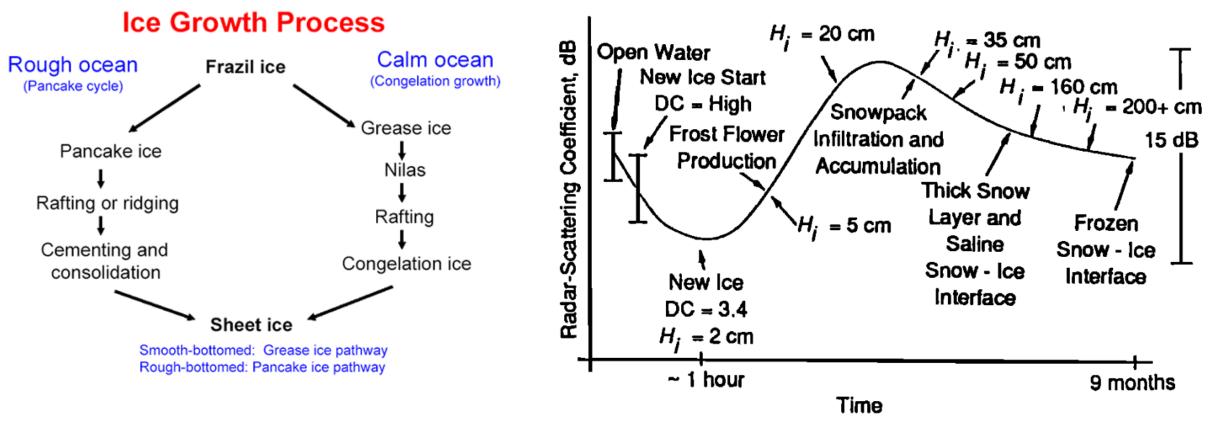
### **SAR Signatures**

ERS-1 SAR image from 1992-03-17 showing different ice types in boxes:

- a) Smooth Level Ice
- b) Rough Level Ice
- c) Ridged Ice
- d) Hummocked Ice
- e) Rubble Fields
- f) Jammed Brash Barrier



### Sea Ice Backscatter Signatures



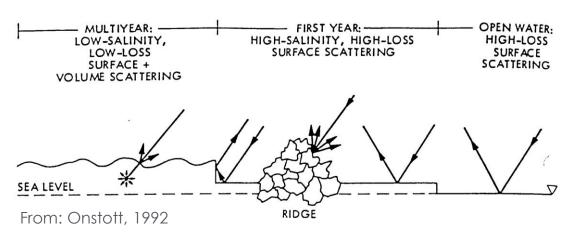
From: Onstott, 1992



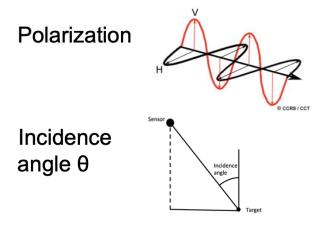
# Many factors affect SAR imaging.

#### Sea Ice

- Difference in Salinity (dielectric constant, penetration depth)
- Porosity and Inclusions Scattering
- Surface Roughness and Topography
- Layers
  - Snow/Sea Ice
  - Sea Ice/Water
- Snow Cover (density, grain size, moisture)

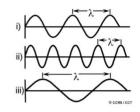


**Sensor parameters** 



- Resolution, sensor noise,
- Frequency

. . .

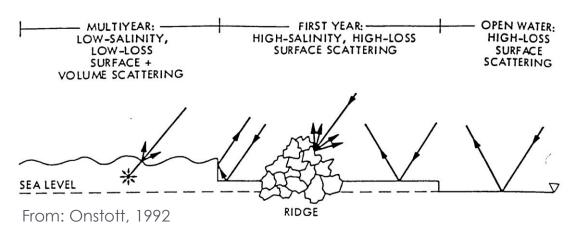


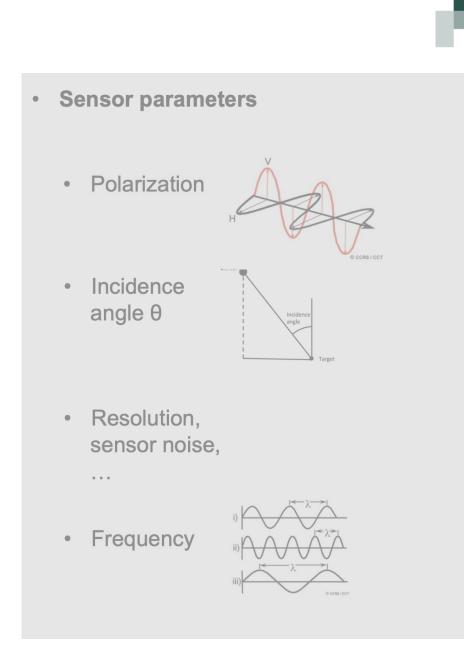


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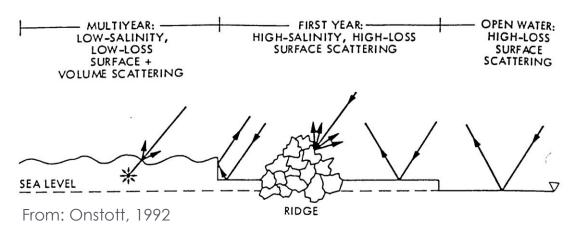




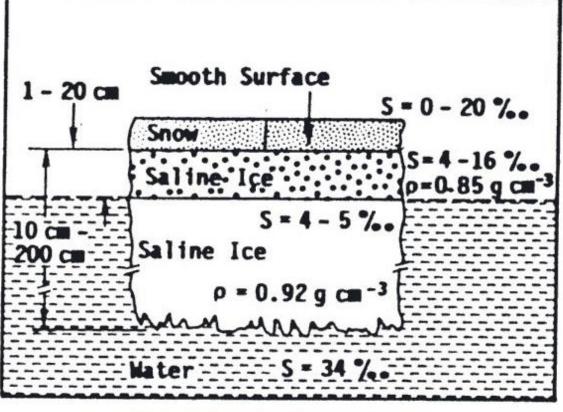
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### Sea Ice Salinity



### (b) First-Year Ice



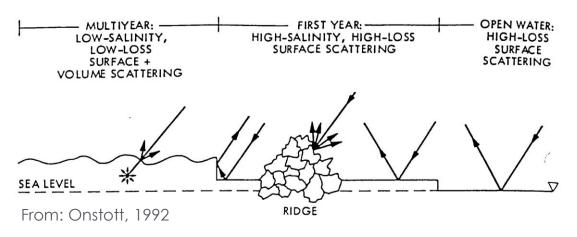
Photo: J. Landy



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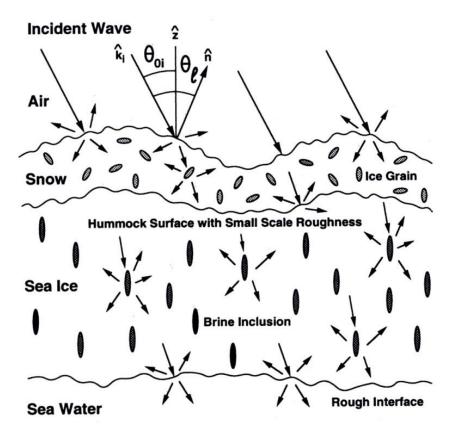
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### Sea Ice Scattering



Wave scattering from sea ice (from Nghiem et al., [1995]).

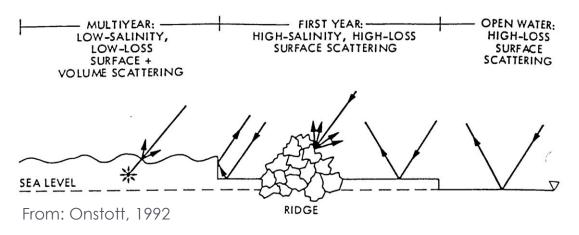




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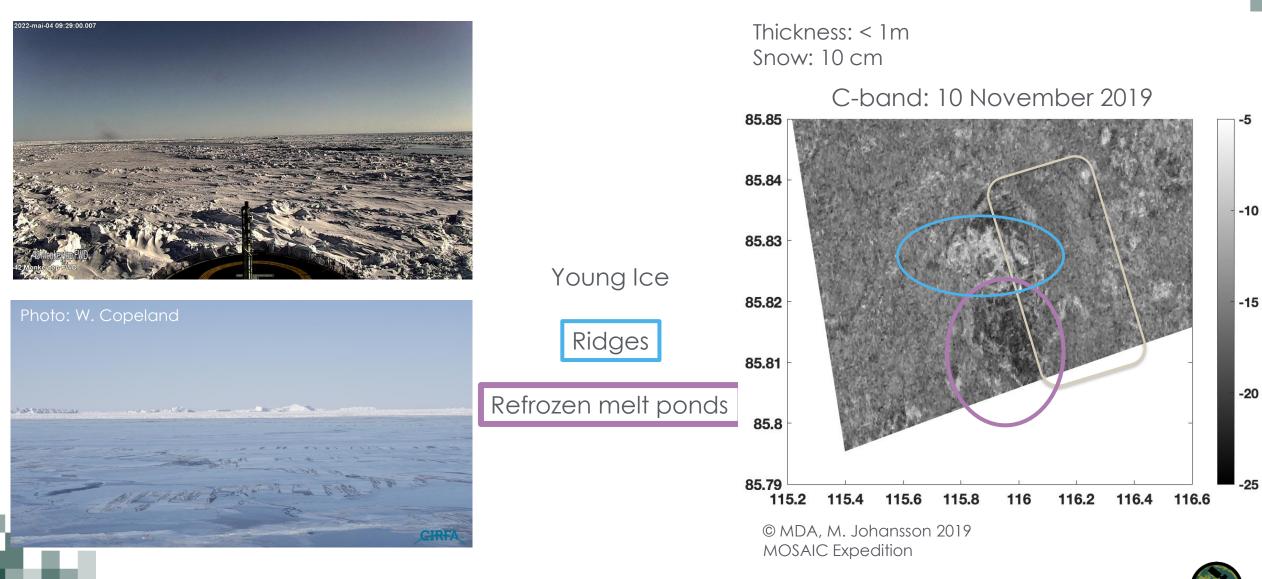
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## Sea Ice Deformation and Roughness

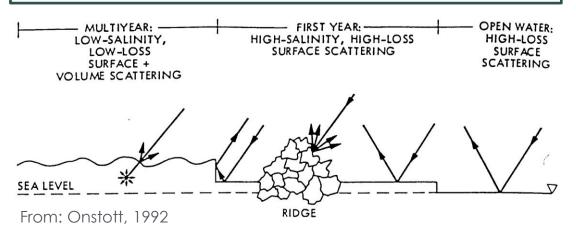




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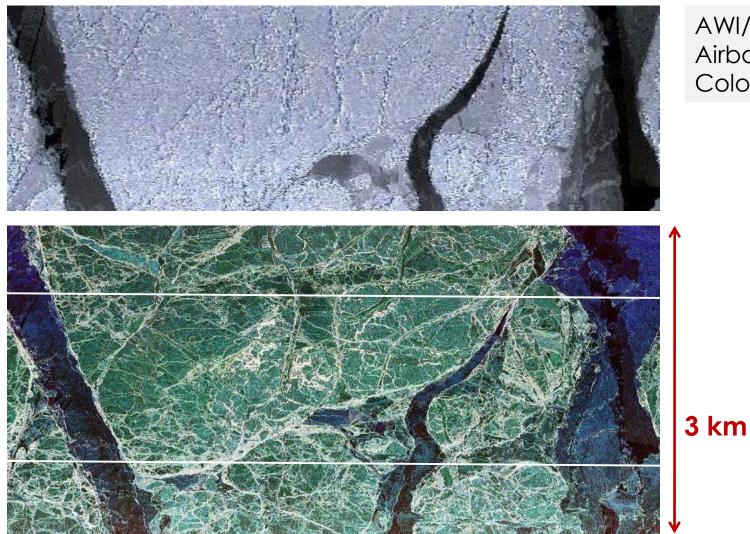
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### Radars look through dry snow.



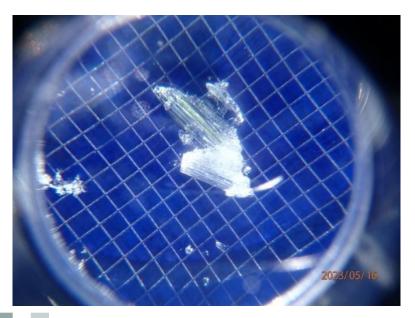
#### AWI/Optimare Airborne Color Line-Scanner

DLR ESAR: L-Band R: X-Pol. G: H-Pol. B: V-Pol.



## Sea Ice Dynamics – Influence of Snow

- ARTofMELT (Atmospheric rivers and the onset of sea ice melt)
- May 7<sup>th</sup> to June 15<sup>th</sup>
- 28 snow pits





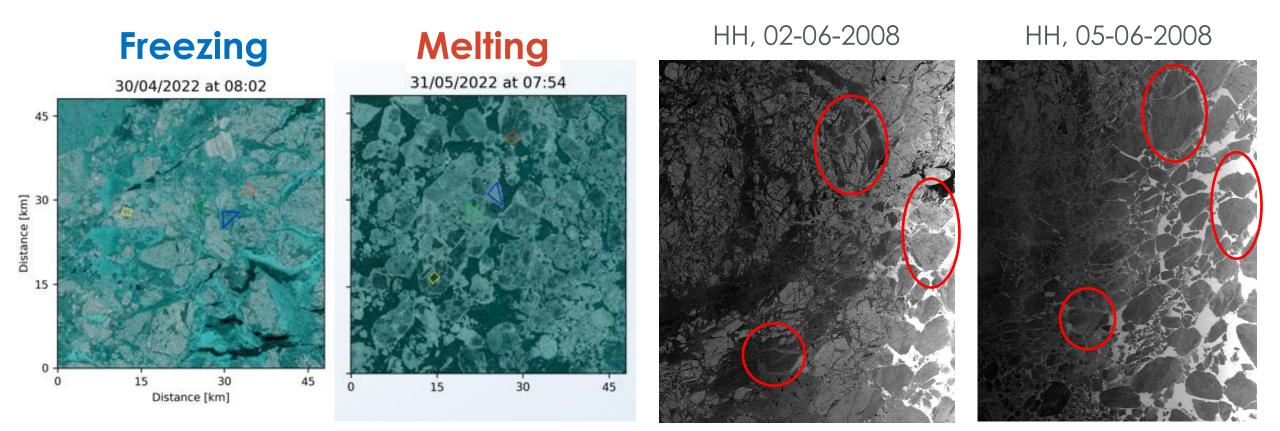
 Start of Cruise = Dry Snow
 End of Cruise = Wet Snow





### **Influence of Snow**





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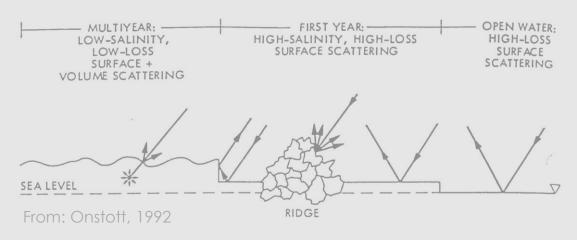
© ASAR Images



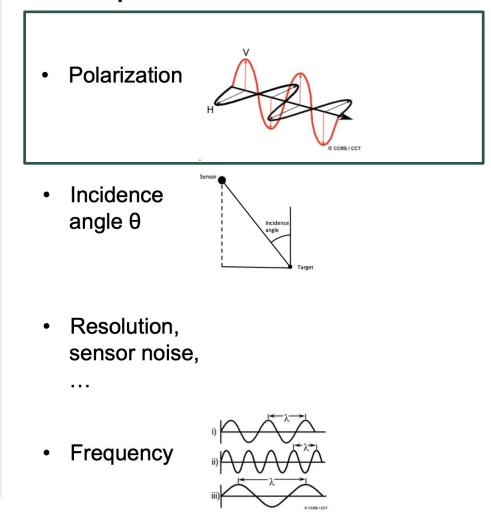
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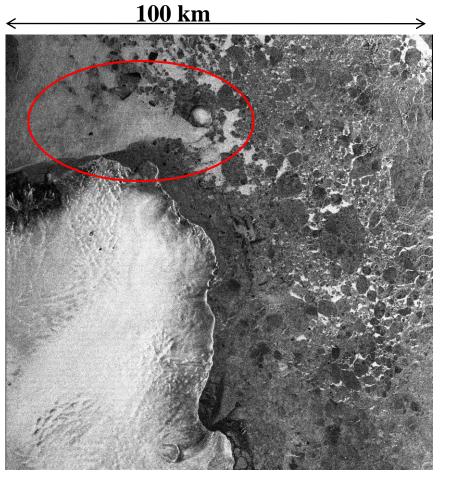


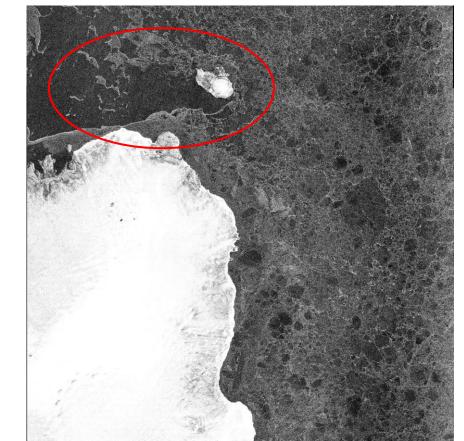
#### Sensor parameters



### Sea Ice Backscatter Signatures

#### ASAR, March 17, 2007 (ICESAR-Campaign)





#### HH-Polarization

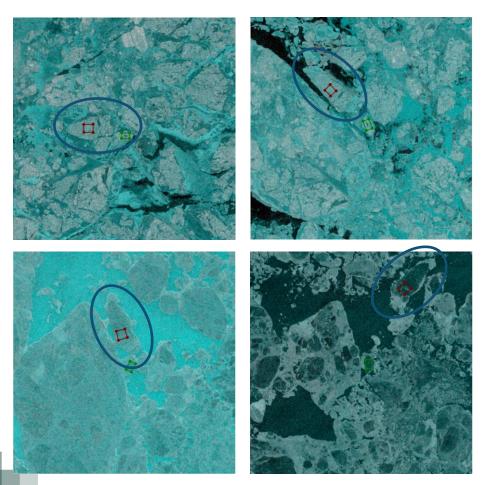
#### HV-Polarization

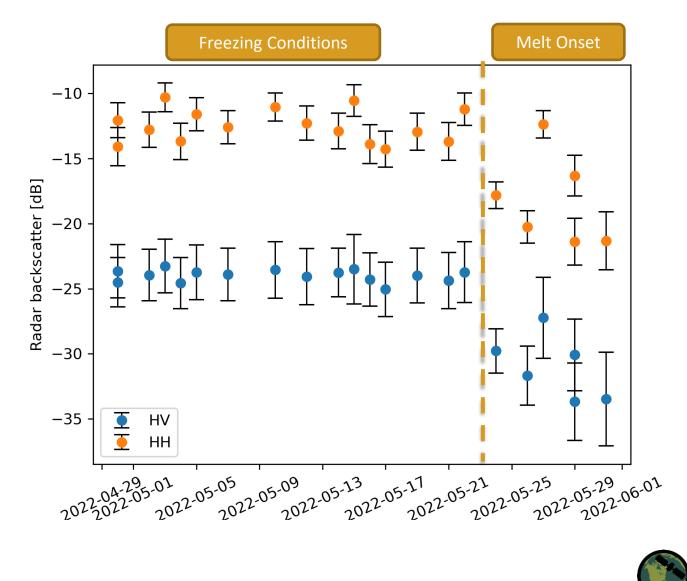
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### Sea Ice Backscatter Signatures

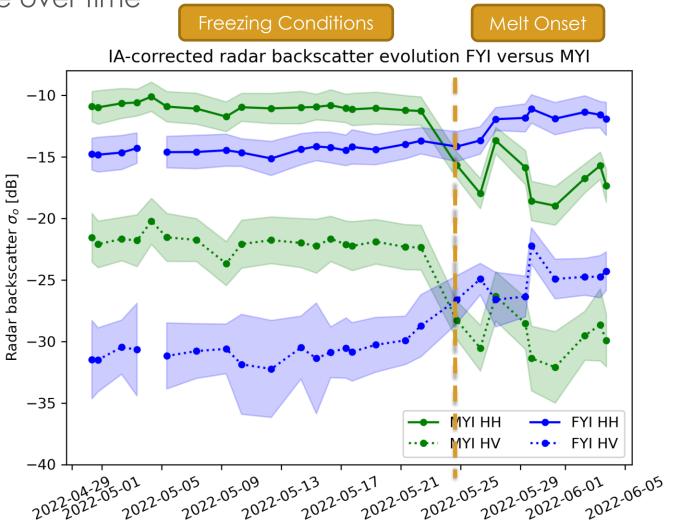
Track the same ice over time
 Multi-year ice floe





#### Sea Ice Backscatter Signatures

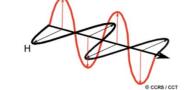
• Track the same ice over time

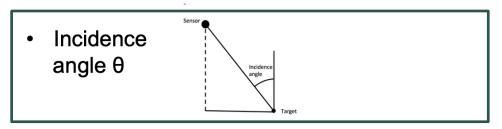




#### Many factors affect SAR imaging.

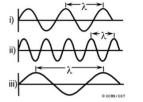
- Sensor parameters
  - Polarization





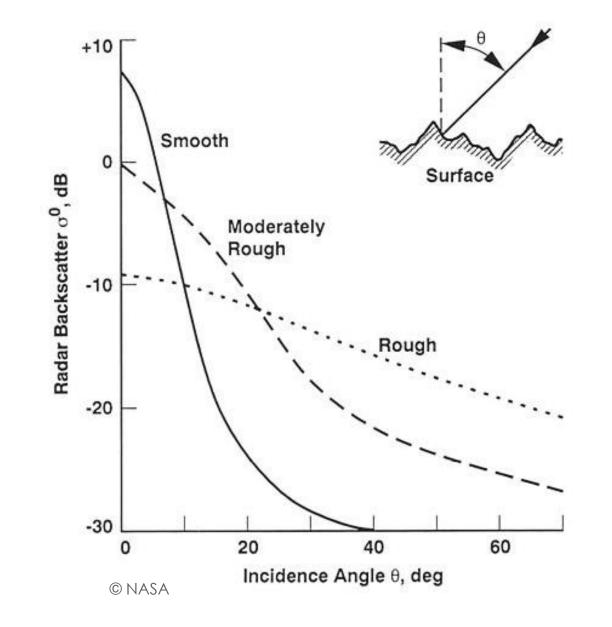
• Resolution, sensor noise,

• • •

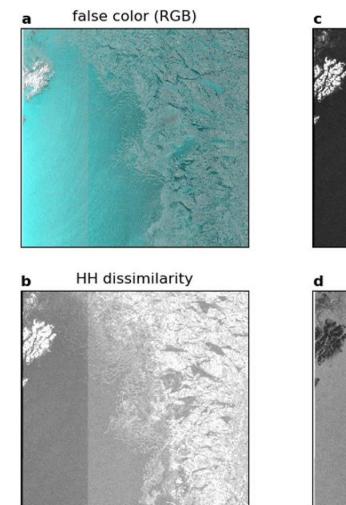


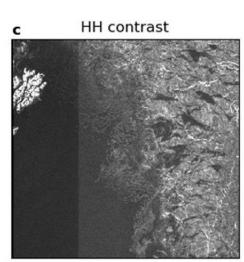


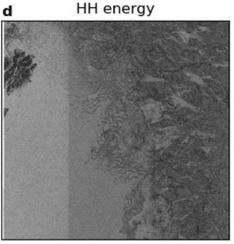
• Radar backscatter is reduced with increased incidence angle.



- We can either correct for the effect across the entire image
  - 0.22 dB/deg for C-band (Mahmud et al, 2018)
- Overall ice types, hence, not a perfect correction
- Or one correction for each ice type

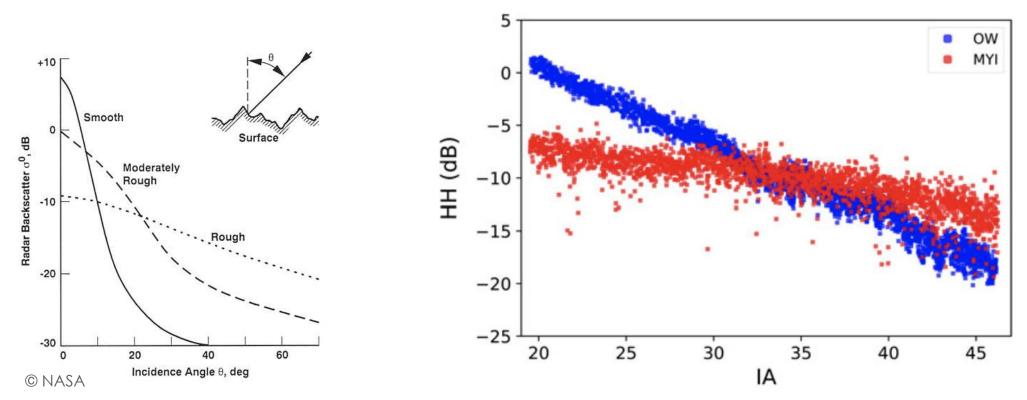




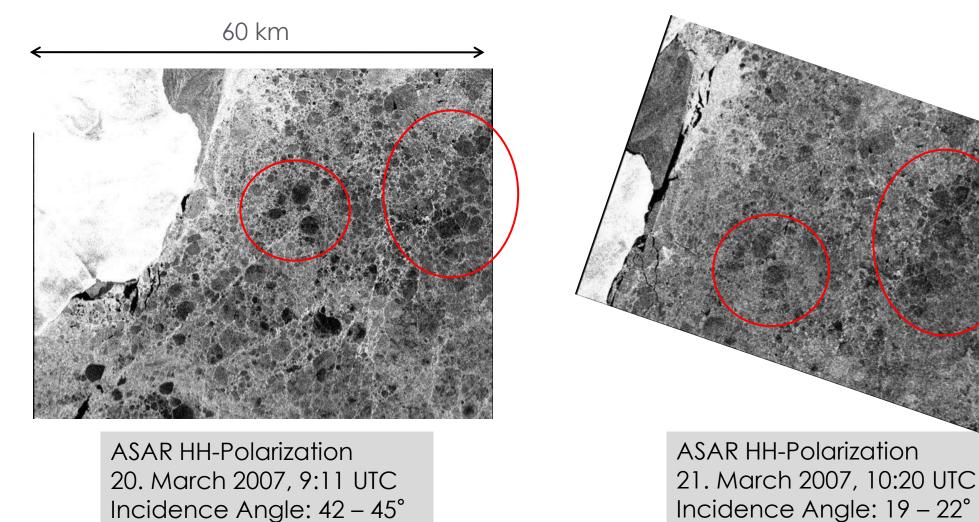




- Radar backscatter is reduced with increased incidence angle.
- The change across the scene is dependent on the material on the ground.







...hampers (automatic) segmentation/classification

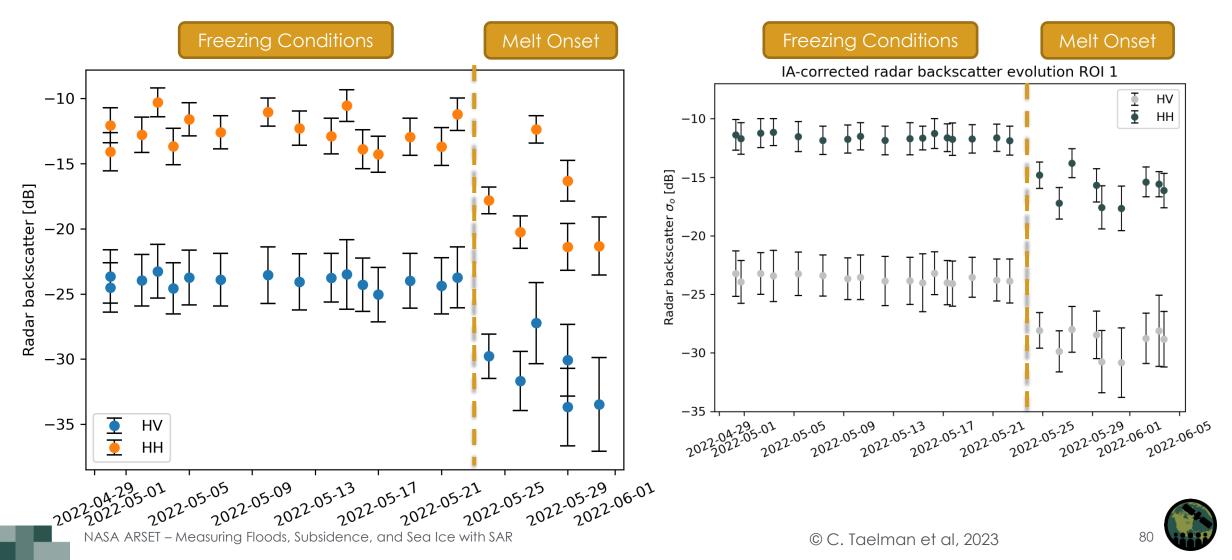
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Near-Range

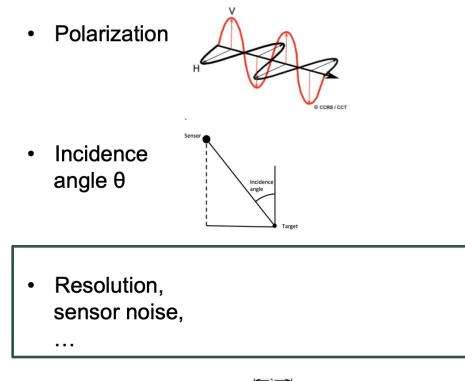
## Sea Ice Backscatter Signatures – Incidence Angle

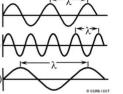
• Track the same ice over time



#### Many factors affect SAR imaging.

• Sensor parameters

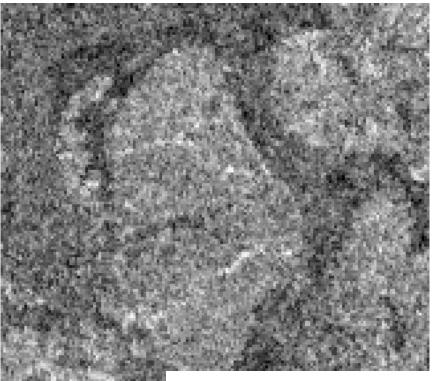




#### **Effect of Spatial Resolution**



Airborne SAR Pixel Size: 5 m, Effective Resolution: 8 m

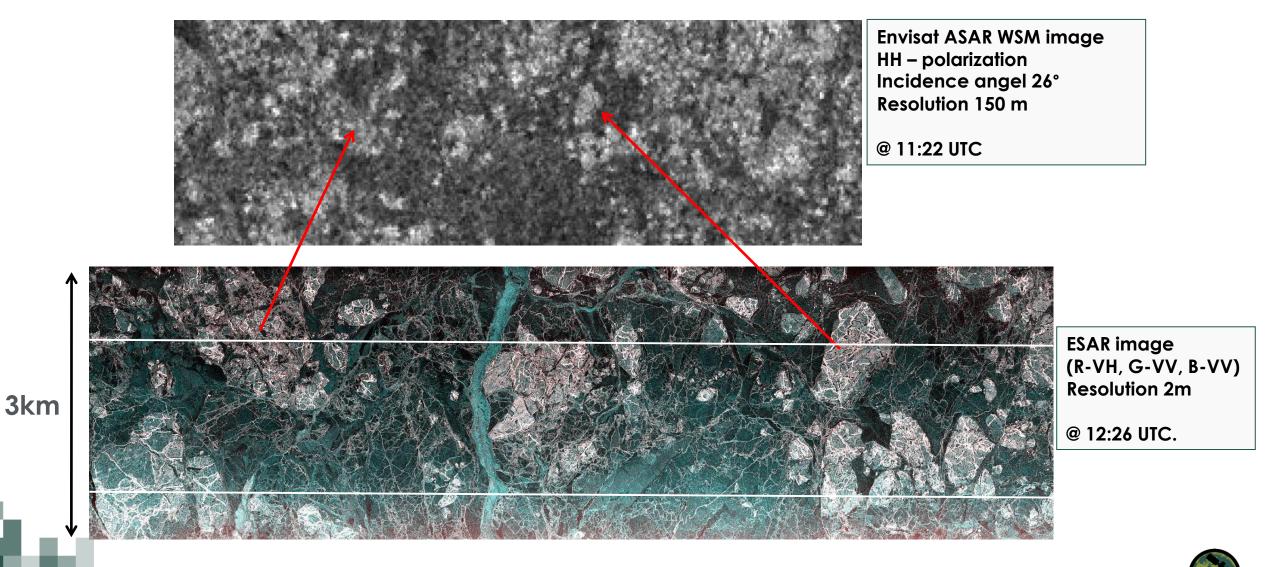


Satellite SAR Pixel Size: 12.5 m Effective Resolution: 30 m



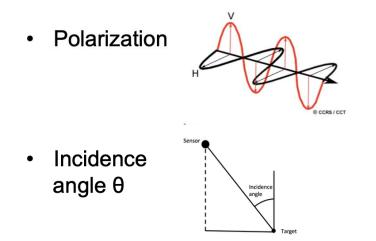
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#### Comparision Envisat ASAR – ESAR, Fram Strait, March 19, 2007

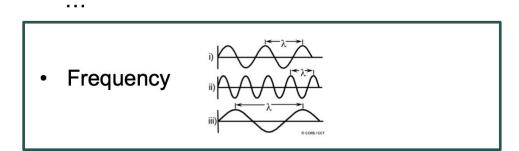


#### Many factors affect SAR imaging.

Sensor parameters



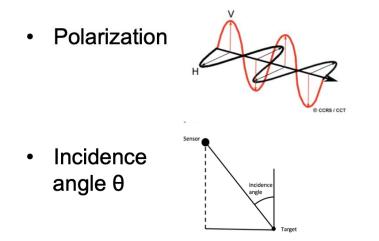
 Resolution, sensor noise,



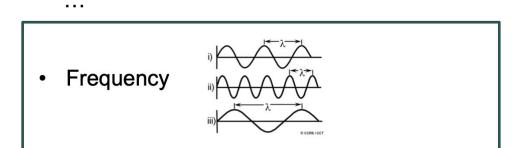


## Many factors affect SAR imaging.

Sensor parameters



 Resolution, sensor noise,



Exciting aspect with upcoming NISAR (2024), ALOS-4 (2024), ROSE-L (2028)

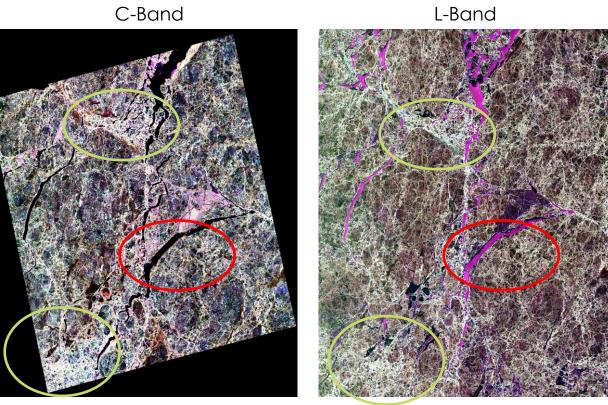
Existing missions ALOS-2 and SAOCOM



- 275

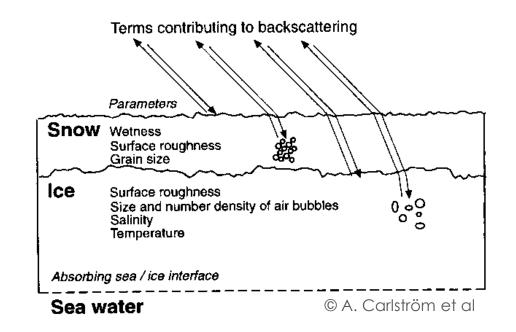
Different frequencies have different penetration depths.

- An X-band radar, wavelength approx. 3 cm -> small penetration depth
- An L-band signal, wavelength approx. 23 cm -> greater penetration depth



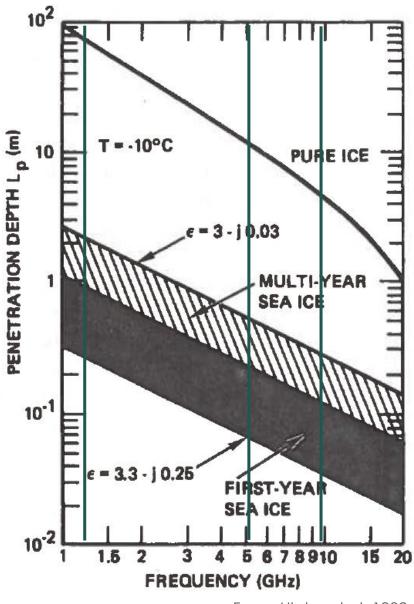


- An X-band radar, wavelength approx. 3 cm -> small penetration depth
- An L-band signal, wavelength approx. 23 cm -> greater penetration depth
- Scattering and attenuation from:
  - Snow
  - Snow/Ice Surface
  - Ice Volume
  - Ice/Water Interface
- X- and C-band from surface
- S-band partly from surface partly from ice volume
- L-band from ice volume



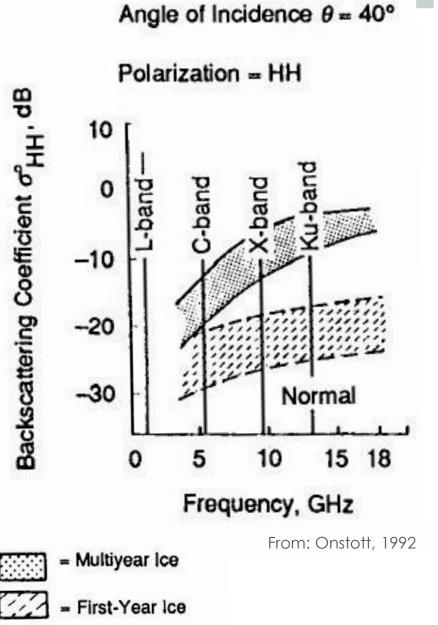


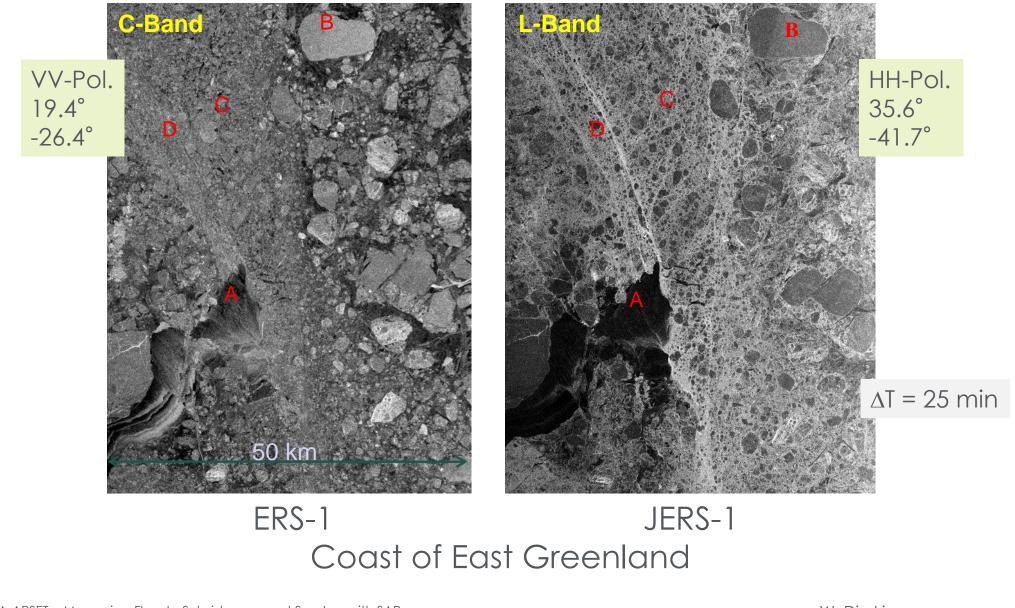
- Penetratation depth for different sea
   ice types
- The change in salinity affects the dielectric constant.



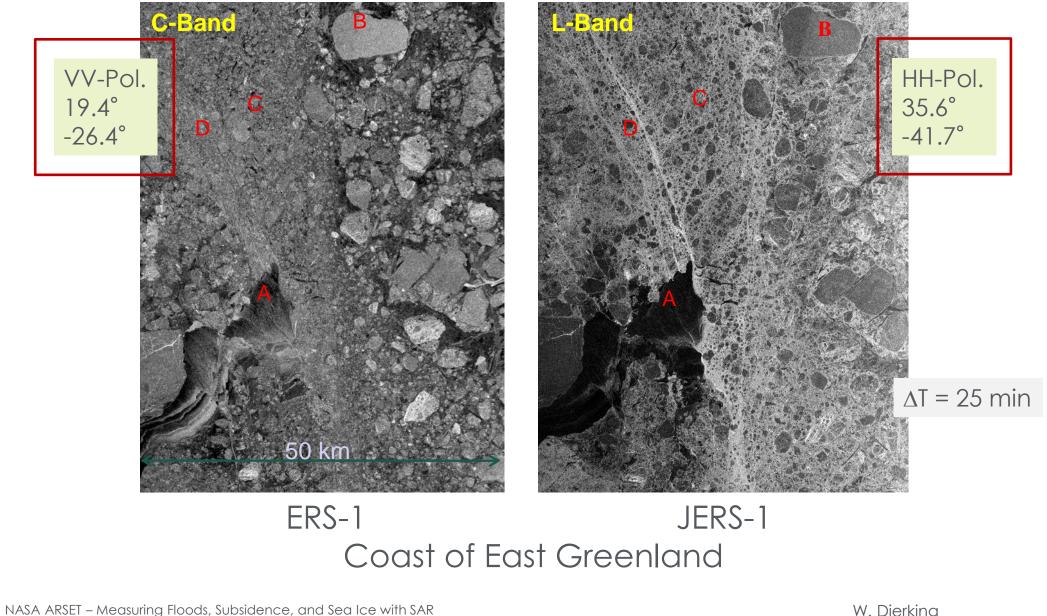


- X-band data reveal largest intensity contrast between first-year and multi-year ice
- Larger sensitivity to snow on ice, and to surface and subsurface (a few centimeters depth) characteristics
- Larger sensitivity to the onset of melt

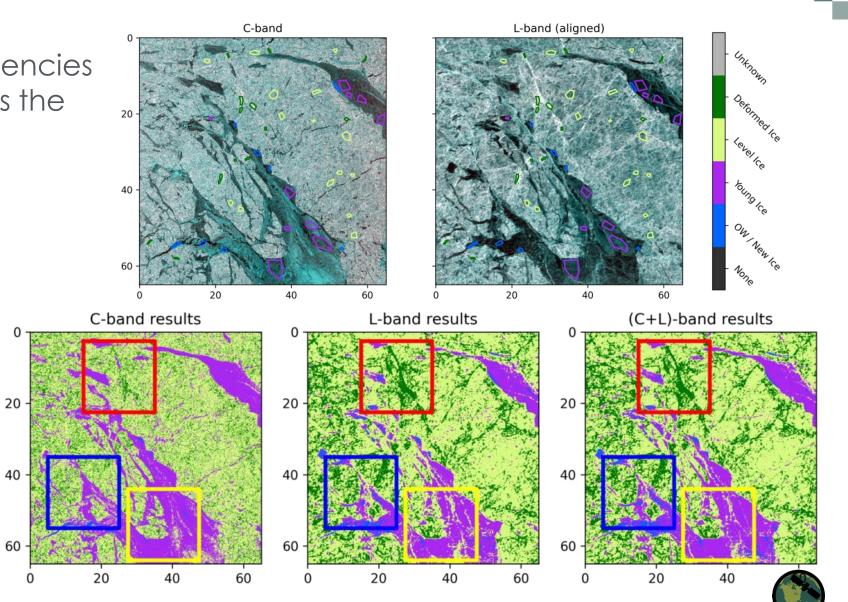




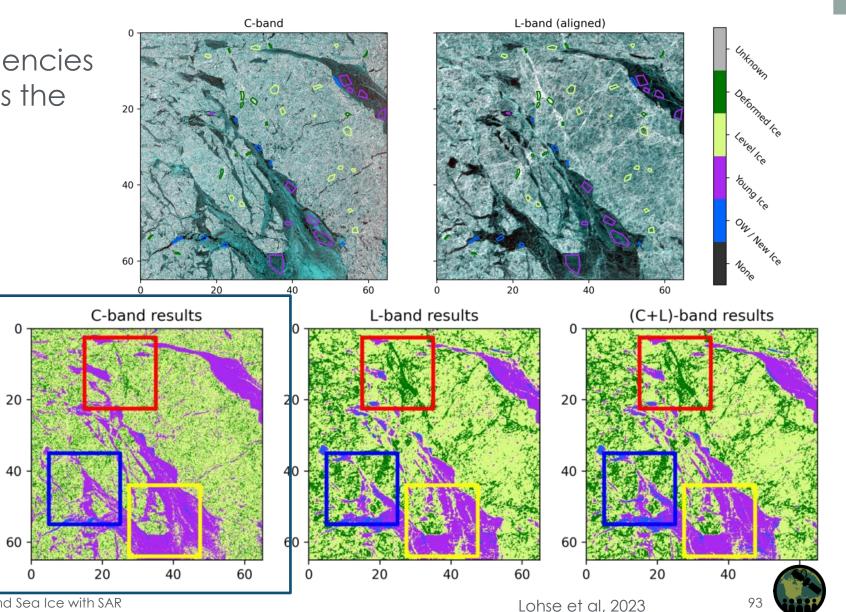
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• Combining the two frequencies (L- and C-band) improves the classification accuracy.

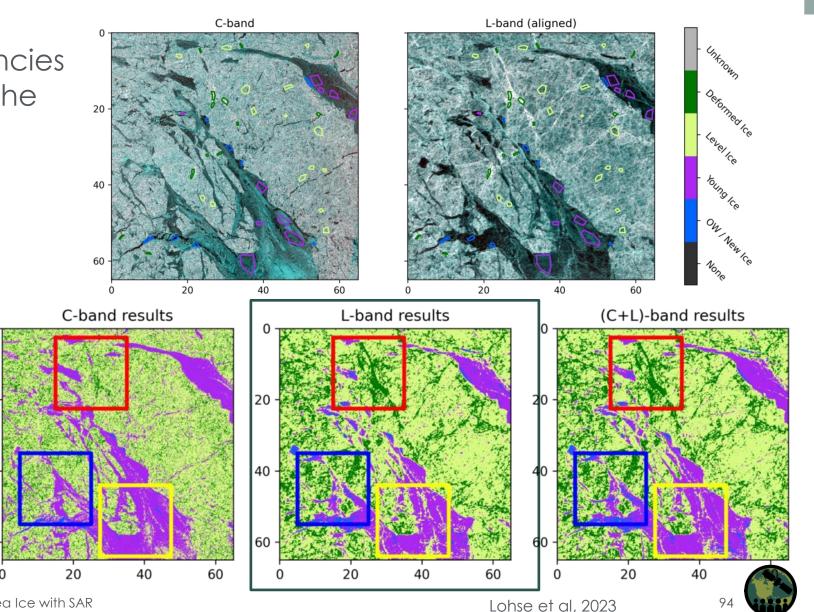


• Combining the two frequencies (L- and C-band) improves the classification accuracy.



NASA ARSET - Measuring Floods, Subsidence, and Sea Ice with SAR

• Combining the two frequencies (L- and C-band) improves the classification accuracy.



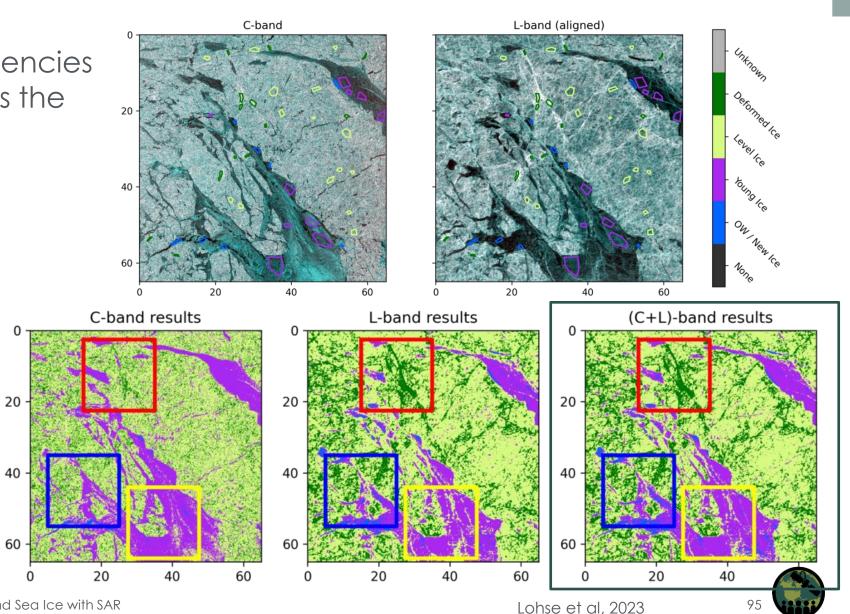
0

20

40

60

• Combining the two frequencies (L- and C-band) improves the classification accuracy.

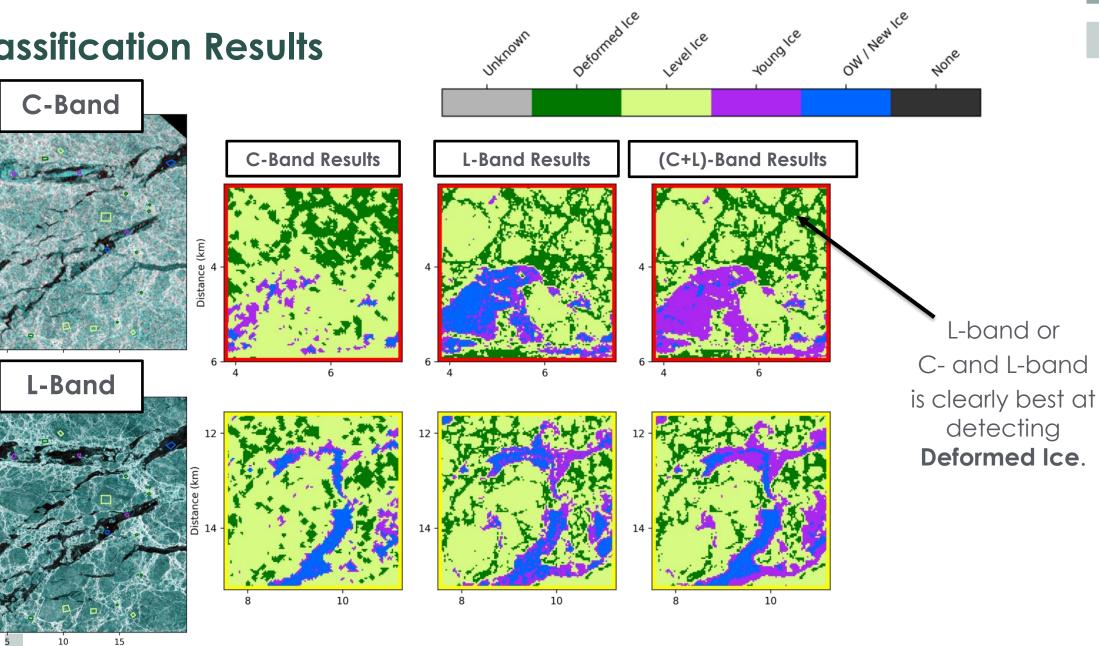


## **Classification Results**

Distance (km) 0

Distance (km) 01

Distance (km)

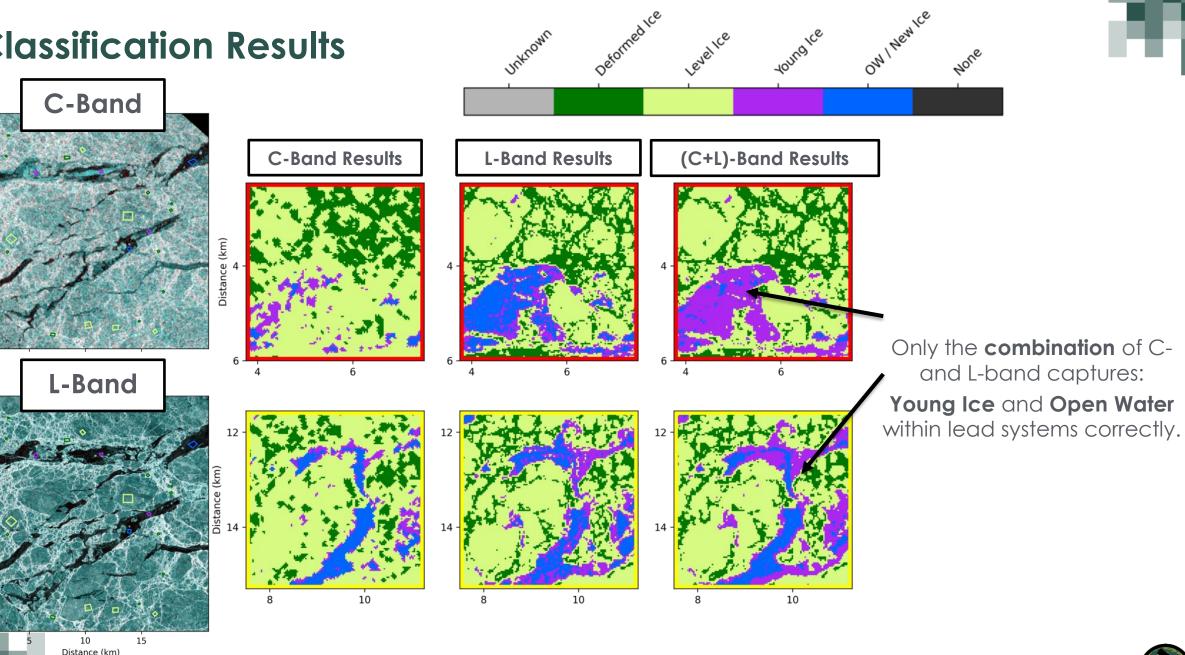


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#### **Classification Results**

Distance (km) 01

Distance (km)

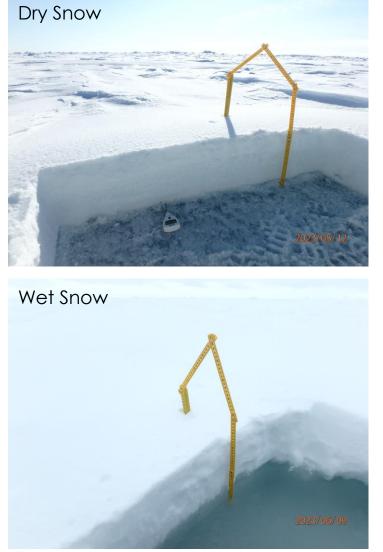


#### How do we monitor sea ice?

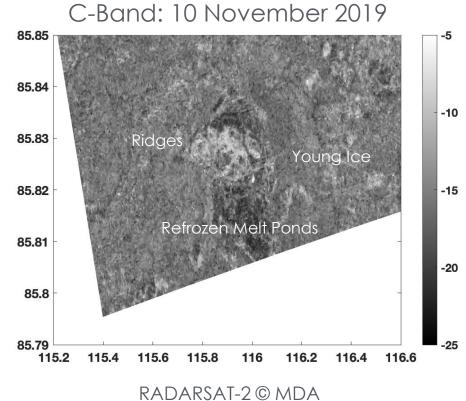




Photos: W. Dierking



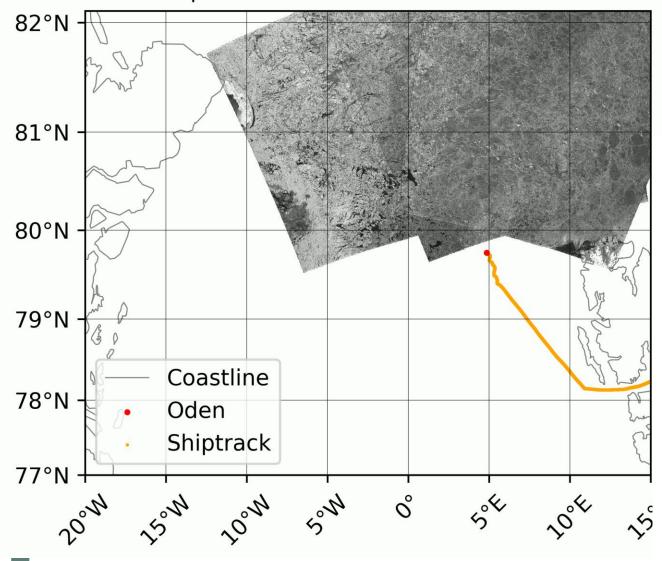
Photos: T. Karlsen



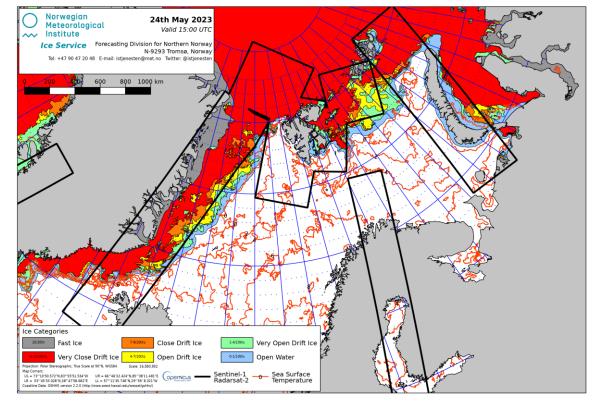


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#### updated: 2023-05-09T062832 UTC

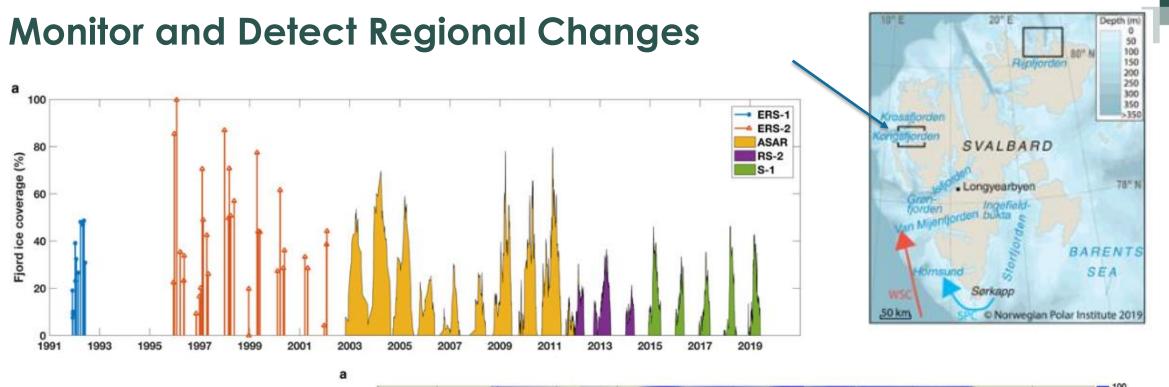


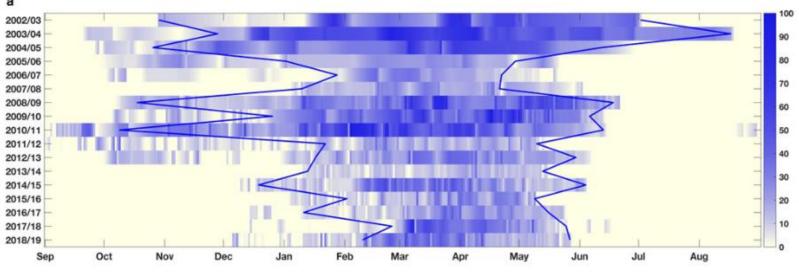
• Use all of the freely available images to generate sea ice maps.



T. Karlsen, 2023





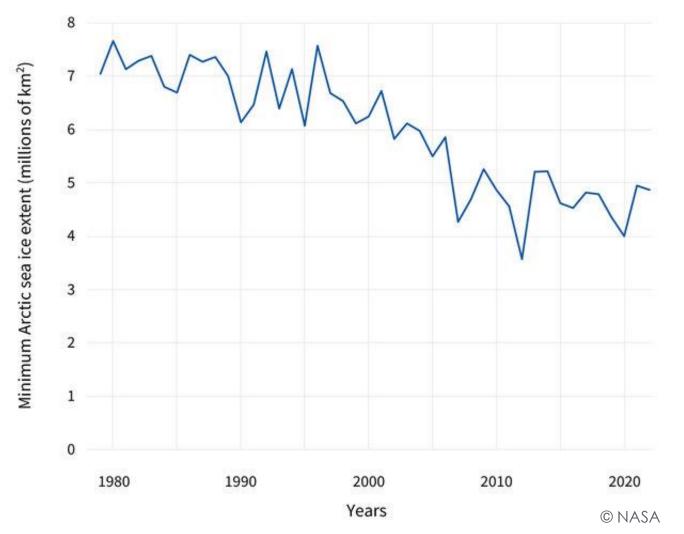


#### NASA ARSET – Measuring Floods, Subsidence, and Sea Ice with SAR

#### Monitor and Detect Global Changes



#### ARCTIC SEA ICE YEARLY MINIMUM





#### Summary

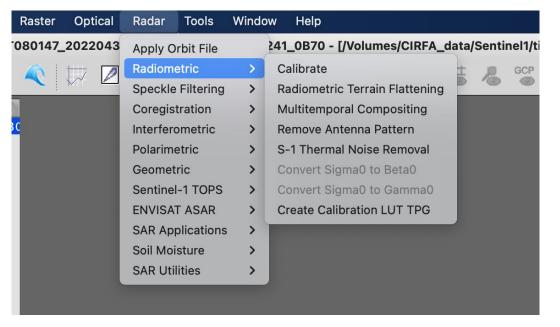
275

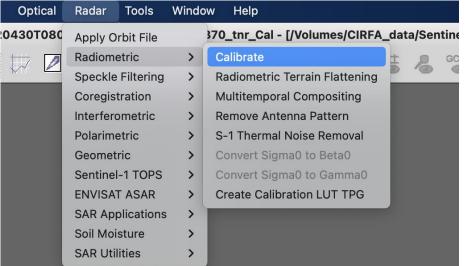
- We observe surface roughness with SAR, and in sea ice this takes many forms.
- Sensor specifics, such as incidence angle and frequency, affect detection and monitoring capabilities.
- SAR can be used to detect and monitor sea ice.
  - More than one channel is preferable, as the HV channel provides better separation between open water and sea ice.
  - We for the most part cannot derive sea ice thickness using SAR making WMO classes challenging.
  - But we can identify areas with different deformation.
  - Young and thin ice is our most challenging ice type > can look both like smooth, open water and as deformed MYI.
    - Time series can be used in climate models.



#### Perform Your Own Sea Ice Classification

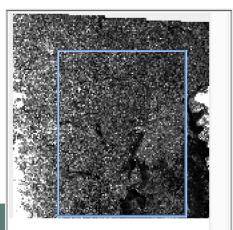
- Classify deformed, level, young ice and open water within this image:
  - S1A\_EW\_GRDM\_1SDH\_20220430T08
     0147\_20220430T080251\_043000\_052
     241\_0B70.SAFE
- Open the file in SNAP.
- Pre-Processing:
  - Thermal noise removal -> Radar -> Radiomeric -> S-1 Thermal Noise Removal
  - Calibration -> Radar -> Radiometric
     -> Calibrate





## Perform Your Own Sea Ice Classification

- The image should then look something like this.
- The next step is to do a sub-setting, primarily to speed up the process.
- The area should be cut out as:



Pixel Coordinates	Geo Coordinates
North latitude bound:	81.00 🗘
West longitude bound:	5.30 🗘
South latitude bound:	79.20 🗘
East longitude bound:	-15.80 🔹



#### Perform Your Own Sea Ice Classification

- The image is now ready to be classified.
- Today we will do a random forest classification.
- For this we need some training data!
  - Deformed Ice
  - Level Ice
  - Young Ice
  - Open Water

• Training data is generated by identifying polygons containing the different ice types.



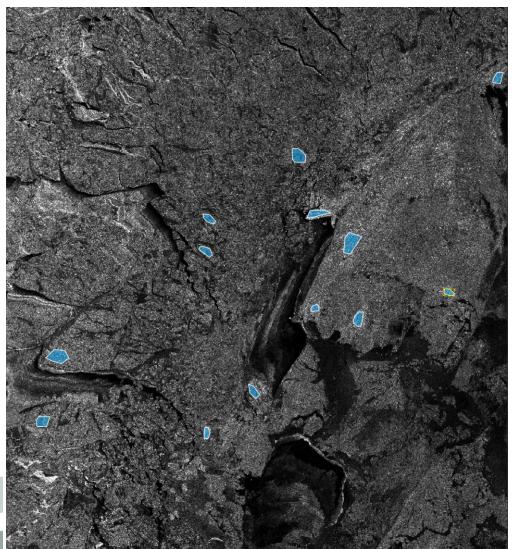
• And start creating polygons using this:



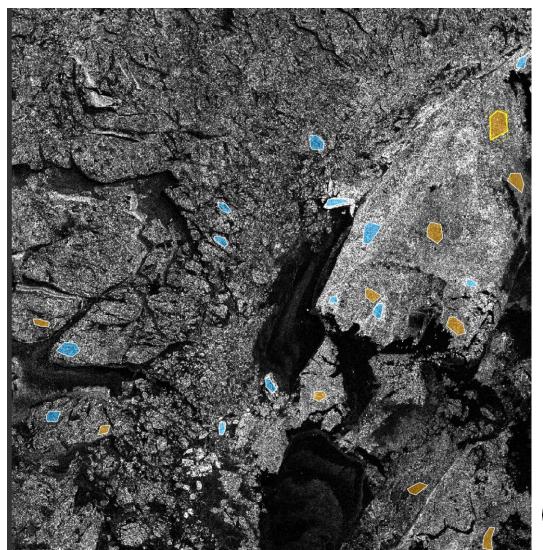


# Deformed (Blue) and Level (Yellow) Sea Ice Polygons

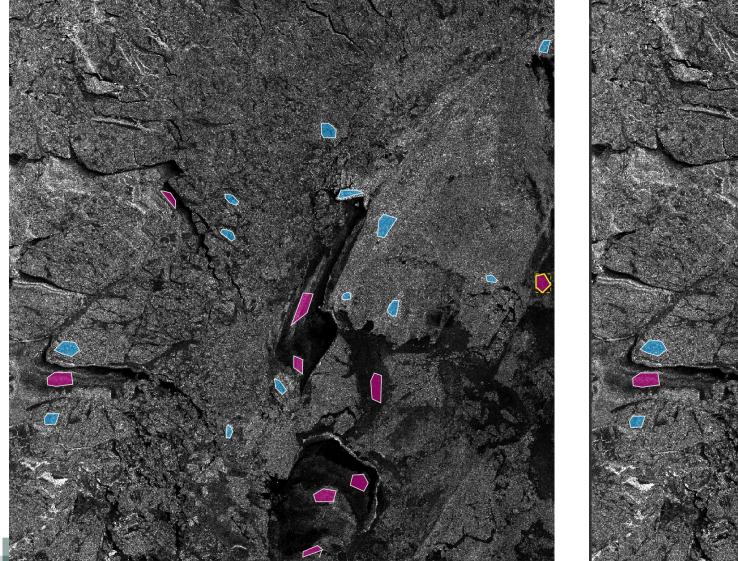
• HH-Channel

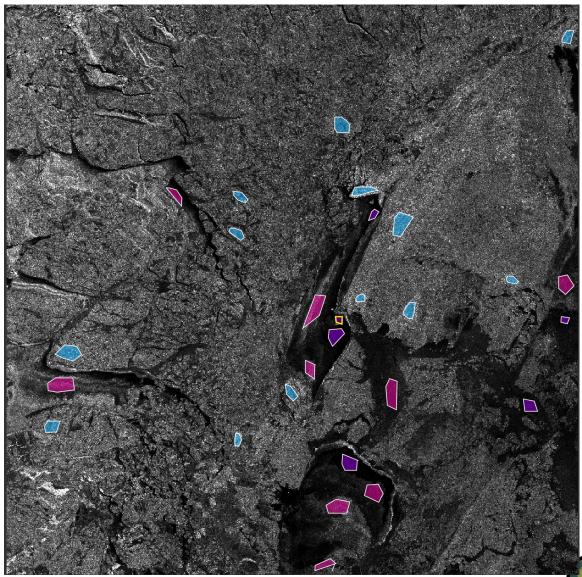


• HV-Channel



# Young Ice (Pink) and Open Water (Purple)





#### Perform the Random Forest Classification

• Set up the random forest.

Raster	Optical	Radar	Tools	Window	Help		6
Band M	aths			70_tr	n_Cal_sub - [/Volumes/C	IRFA_	_data/Sentinel1/til_mailn/til_mailn/S1A
Filtered	Band			IAW	$\sum \otimes \sum$	1	
Convert	Band			1.44		5 +@	
Propaga	ate Uncerta	inty					
Geo-Co	ding Displa	acement E	Bands	33			N SALAN STREET
Subset.					A Participation	2000	
DEM To	ols			>			States and
Geomet	ric			>		$2\sqrt{2}$	
Masks				>	一日 、 一日 二		
Data Co	onversion			>			
Image A	alysis			>			
Classific	cation			> Uns	upervised Classification	>	
Segmer	ntation			> Sup	ervised Classification	>	Random Forest Classifier
Export				>			KNN Classifier
Bands e	extractor				Are the second		KDTree KNN Classifier
		HELL N		1 all			Maximum Likelihood Classifier
				and Sta			Minimum Distance Classifier
	10 K 10			Sec. 1			Spectral Angle Mapper Processor
	ALC: THE	D' Carton				1. 1. 1.	

• Find the folder/image with your training data.

	Ra	ndom Forest Cla	ssifier		
	ProductSet-Reade	Random-For	est-Classifier	Write	
File Name S1A_EW_GRDM_1SDH_2	Type 02 GRD	Acquisition 30Apr2022	Track 53	Orbit 43000	÷
					Ę.

• Select the classes that you'd like to include.

$\bigcirc igodot$	Random Forest Classifier
Produc	tSet-Reader Random-Forest-Classifier Write
Classifier	
O Train and apply classifier	newClassifier
O Load and apply classifier	newClassifier $\diamond$ X
	Train on Raster <b>O</b> Train on Vectors
Evaluate classifier	
Evaluate Feature Power Set	
	Min Power Set Size: 2 Max Power Set Size: 7
Number of training samples	5000
Number of trees:	10
Vector Training Training vectors: Deforme Level sea Young ice Open wa	i ice e
Feature Selection	
Feature bands: Sigma0_H Sigma0_H	

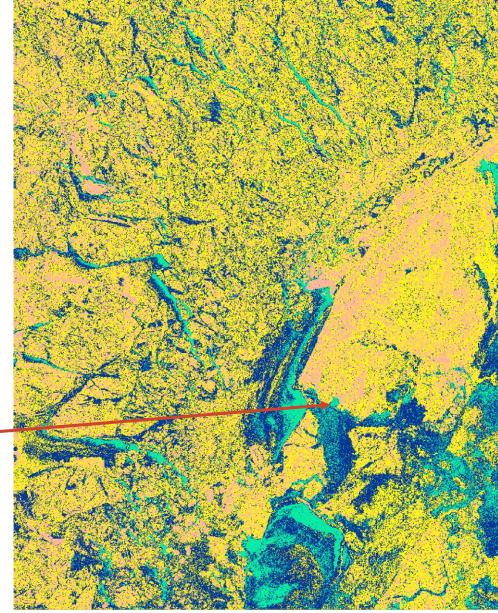
\_

#### Perform the Random Forest Classification

• For the image and classes that I selected, my results turned out like this:







#### **Acknowledgements**

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Big thank you to:

Wolfgang Dierking, Johannes Lohse, Catherine Taelman, Denis Demchev, Truls Karlsen, and Torbjørn Eltoft for slides, input and helpful feedback.

Janine Osanen for the sea ice stratigraphy image.

All those who have target ordered satellite data to overlap with the in-situ data for countless sea ice campaigns. Without you the temporal and spatial overlaps wouldn't have happened.

All those who have participated in fieldwork collecting in-situ data.



## **Contact Information**

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  - Malin.Johansson@uit.no

- ARSET Website
- Follow us on Twitter!
  - <u>@NASAARSET</u>
- ARSET YouTube

Visit our Sister Programs:

- DEVELOP
- SERVIR



#### Resources



- <u>https://nsidc.org/arcticseaicenews/</u>
- <u>https://nsidc.org/data/seaice\_index/</u>
- <u>https://nsidc.org/arcticseaicenews/charctic-interactive-sea-ice-graph/</u>
- <u>https://earth.gsfc.nasa.gov/cryo/data/current-state-sea-ice-cover</u>

WMO Sea Ice Nomenclature:

- <u>https://library.wmo.int/viewer/41953?medianame=259-</u>
   <u>2015\_multilingual\_#page=1&viewer=picture&o=&n=0&q=</u>
- Extreme Earth Dataset: <u>https://earthanalytics.eu/datasets.html</u>, <u>https://zenodo.org/record/4683174#.YLTRA5MzZdA</u>
- More information about our cruise: <u>https://zenodo.org/record/7314066#.Y3I418fMJPZ</u>



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#### **Thank You!**



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